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frontiers in **PSYCHOLOGY**



Hearing loss impacts neural alpha oscillations under adverse listening conditions

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- Degradations in external, acoustic stimulation have long been suspected to increase the 070 load on working memory (WM). One neural signature of WM load is enhanced power 071 of alpha oscillations (6–12 Hz). However, it is unknown to what extent common internal, 072 auditory degradation, that is, hearing impairment, affects the neural mechanisms of WM 073 when audibility has been ensured via amplification. Using an adapted auditory Sternberg 074 paradigm, we varied the orthogonal factors memory load and background noise level, 075 while the electroencephalogram was recorded. In each trial, participants were presented 076 with 2, 4, or 6 spoken digits embedded in one of three different levels of background 077 noise. After a stimulus-free delay interval, participants indicated whether a probe digit had 078 appeared in the sequence of digits. Participants were healthy older adults (62-86 years), 079 with normal to moderately impaired hearing. Importantly, the background noise levels were 080 081 individually adjusted and participants were wearing hearing aids to equalize audibility across 082 participants. Irrespective of hearing loss (HL), behavioral performance improved with lower 083 memory load and also with lower levels of background noise. Interestingly, the alpha power 084 in the stimulus-free delay interval was dependent on the interplay between task demands 085 (memory load and noise level) and HL; while alpha power increased with HL during low 086 and intermediate levels of memory load and background noise, it dropped for participants 087 with the relatively most severe HL under the highest memory load and background noise 088 level. These findings suggest that adaptive neural mechanisms for coping with adverse 089 listening conditions break down for higher degrees of HL, even when adequate hearing aid 090 amplification is in place. 091

Keywords: alpha oscillations, hearing loss, hearing aid, cognition, working memory

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038 INTRODUCTION

Adverse listening conditions are common in everyday life. Audi-039 tory distractions and signal degradations increase demands on 040 attention and working memory (WM; Shinn-Cunningham and 041 Best, 2008). WM describes the system for temporary storage and 042 processing of information to perform a cognitive task (Baddeley, 043 1986). Any degradation of the sensory auditory input requires 044 increased WM involvement to successfully interpret the stimuli 045 (Rönnberg et al., 2008; Stenfelt and Rönnberg, 2009). Auditory 046 stimuli can be degraded by external factors, often occurring in the 047 form of background noise, in which case WM is engaged to extract 048 049 useful information from the auditory input (Pichora-Fuller, 2003). 050 However, auditory processing can also be disrupted by internal degradation, such as sensorineural hearing loss (HL). To alleviate 051 052 this internal degradation of the auditory input, people suffering 053 from HL are typically treated with hearing aids. The purpose of a hearing aid is to amplify the auditory input to make sounds 054 055 audible and consequently reduce the internal auditory degradation, which theoretically should release WM resources (sometimes 056 057 referred to as lowered cognitive load; Lunner, 2003). Here, we tested whether HL affects brain signatures of WM involvement in an adverse listening paradigm.

The power of neural oscillations in the alpha frequency band 097 (liberally defined as 6-12 Hz) has been found to increase with 098 WM load (Jensen et al., 2002). According to the functional inhi-099 bition framework (Klimesch et al., 2007; Jensen and Mazaheri, 100 2010), alpha oscillations indicate the inhibition of currently task-101 irrelevant brain regions and/or cognitive processes to prevent 102 interference with task-relevant cognitive processing (Bonnefond 103 and Jensen, 2012). Although alpha power modulations have been 104 found for external degradation of auditory signals (van Dijk et al., 105 2010; Obleser and Weisz, 2012; Obleser et al., 2012; Becker et al., 106 2013; Scharinger et al., 2014; Wöstmann et al., 2015), it is currently 107 unknown how the internal degradation of auditory input through 108 HL affects neural alpha dynamics (Strauß et al., 2014). There is 109 good evidence from behavioral studies that HL negatively affects 110 cognitive operations on the speech signal (McCoy et al., 2005; 111 Wingfield et al., 2005, 2006). These findings support the hypothesis 112 put forward by Rabbitt (1991), stating that adverse listening con-113 ditions require the allocation of more cognitive resources, which 114

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115 could otherwise be used for more task-relevant cognitive process-116 ing, such as storing information. Thus, external (acoustic), and 117 internal (auditory) degradations are assumed to trigger a higher 118 degree of WM involvement during the encoding of task-relevant 119 stimuli, leaving fewer cognitive resources for the storage, and pro-120 cessing of information in the WM (Lunner et al., 2009; Van Engen 121 and Peelle, 2014). Here, we tested whether HL impacts behavioral 122 performance and neural mechanisms even when it is treated with

individually fitted hearing aids.

A well-established experimental paradigm to test WM demands 124 125 is the Sternberg paradigm (Sternberg, 1966). Participant's task is 126 to encode and retain a number of items to compare them to a 127 subsequent probe. Although the Sternberg paradigm was origi-128 nally developed as a visual WM task, it has since been adapted 129 to test auditory WM (e.g., Rojas et al., 2000; Leiberg et al., 2006). 130 The test incorporates a short stimulus-free delay period between the encoding and the probe presentation, during which the par-131 132 ticipants are to retain the presented stimuli in memory. This 133 stimulus-free delay period is of special interest in neuroimaging 134 studies, because neural responses measured in this time period 135 are thought to reflect WM processes independent of the sensory 136 stimulation itself. During stimuli presentation, the processes of 137 auditory encoding and memory storage are not easily separated, 138 contrary to the delay period where there is no sensory input and 139 the only task is to retain the stimuli in memory and restore inad-140 equately encoded digits. A number of studies have found that 141 increased memory load (i.e., increasing the number of items to 142 be remembered) was associated with enhanced alpha power over 143 central and parietal recording sites during the delay period (Jensen 144 et al., 2002; Leiberg et al., 2006; Obleser et al., 2012). Critically, 145 Obleser et al. (2012) recently found that alpha power in the delay 146 period was not only enhanced with an increasing number of to-147 be-remembered items, but with the acoustic degradation of the 148 items.

149 In the present study, a version of the Sternberg test modified by Obleser et al. (2012) was applied to investigate the effects of varying 150 151 memory load and the level of background noise on alpha oscil-152 lations measured by electroencephalogram (EEG) recording. We 153 tested older participants with varying degrees of HL. In line with 154 prior studies, we expected decreased task performance with higher 155 memory load and higher levels of background noise. We hypoth-156 esized that alpha power would increase with the severity of HL, 157 suggesting that internal auditory degradations increase the load 158 on neural WM mechanisms in speech processing. Furthermore, it was of interest whether such increased expenditure of cognitive 159 160 resources would reach a limit and break down (i.e., reminiscent 161 of the CRUNCH hypothesis put forward by Reuter-Lorenz and 162 Cappell, 2008) in listeners with the most severe HL and/or under 163 highest task demands (i.e., highest memory load and most severe 164 background noise). 165

166 MATERIALS AND METHODS

167 **PARTICIPANTS**

Twenty-nine native Swedish speaking participants (16 females,
age range: 62–86 years, mean age 72.2 years), recruited from
the audiology clinic at the University Hospital of Linköping in
Sweden, participated in this study. Participants were recruited

to show large inter-individual variability of auditory pure-tone 172 173 thresholds. Participants were grouped according to their puretone average (PTA), across 0.5, 1, 2, 4, and 8 kHz into three groups 174 175 of HL (no/mild/moderate HL). The hearing threshold at 8 kHz was included in the PTA since sensitivity loss at higher frequen-176 cies are known to accompany age-related HL (CHABA, 1988). 177 Separate one-way ANOVAs showed no difference in age between 178 groups (p = 0.114), but a significant difference in HL (p < 0.001), 179 with Fisher's Least Significant Difference (LSD) post hoc analy-180 sis showing significant differences between the three groups (all 181 p < 0.001). Participant information is shown in Table 1 and 182 Figures 1C,D. 183

Participants all gave informed consent and were given no financial compensation for their participation. The study was approved by the regional ethical review in Linköping, Sweden and conformed with the Helsinki Declaration of Ethical Principles for Medical Research Involving Human Subjects.

EXPERIMENTAL DESIGN Speech materials

The stimuli consisted of the monosyllabic Swedish digits "0," "1," 192 "2," "3," "5," "6," and "7," spoken by a female talker and recoded in 193 a soundproof booth at a sampling rate of 22.05 KHz. For a natural 194 195 co-articulation, the digits were recorded as triplets. The triplets were adjusted to the same root-mean-square (RMS) level, and 196 197 then the first digit was extracted without silent intervals before and after each waveform, resulting in an average digit duration 198 of 677 ms (SD: 103 ms). The recordings were originally used for 199 the Swedish digit triplets test (Drullman et al., 2005; Larsby et al., 200 2011). 201

The final audio files were generated by adding speech-shaped 202 noise to the digits at the individualized SNR levels (see below). Due 203 to the short duration of the spoken digits acceptable speech-shaped 204 205 noise could not be generated based on the spectrum of the digits. The speech-shaped noise was instead taken from the Dantale II test, 206 207 a standardized hearing in noise test (HINT; Wagener et al., 2003). Speech-shaped noise is random stationary broadband noise, with 208 the same long-term average frequency spectrum as natural speech. 209

Stimulus presentation

212 All participants were wearing Agil hearing aids (Oticon A/S, Smørum, Denmark) with individual quasi-linear amplification. 213 The quasi-linear amplification accounts for the audibility of soft 214 (inaudible speech) sounds by incorporating a fast-acting gain 215 adjustment at the onset of the presented sounds and maintain-216 ing this gain throughout the presentation of the sounds with a 217 very slow-acting gain adjustment (for details see Simonsen and 218 Behrens, 2009). No changes were made to the time constant 219 throughout the sound presentation, and the hearing aid ampli-220 fication can be considered linear, meaning that the hearing aid 221 output intensity increased at the same rate as the intensity of the 222 acoustic input. The noise reduction algorithm and volume control 223 normally available on these hearing aids were disabled during the 224 entire experimental session. 225

All auditory stimuli were presented directly through the hearing 226 aids using the Direct Audio Input (DAI). The experiment was 227 conducted in an electrically shielded soundproof booth. Visual 228

²²⁹ Table 1 | Participant information.

230						287
231		Hearing threshold	Pure-tone average	Age [years]	No. of	288
232		range [dB HL]	(PTA) [dB HL]		females	289
233						290
234	No hearing loss (HL; $n = 8$)	0–25	22.3 (7.1)	68.8 (4.6)	4	291
235	Mild HL ($n = 11$)	25–50	42.1 (8.4)	72.5 (5.5)	7	292
236	Moderate HL ($n = 10$)	50–80	63.7 (5.2)	74.6 (6.4)	5	293
237	Total $(n - 29)$		44.1 (179)	72.2 (5.8)	16	294
238	10tal (11 – 23)		44.1 (17.3)	12.2 (0.0)	10	295

Participants grouped according to their HL (no/mild/moderate HL; first column), defined based on three ranges of hearing thresholds (second column). Values in
 parentheses indicate one standard deviation. Average hearing threshold levels for the three groups across 0.5, 1, 2, 4, and 8 KHz are shown in the third column.
 Columns four and five list participants' mean age and number of females in the three groups, respectively. The bottom row shows average data across the entire
 sample of participants.



 ± 1 SEM

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cues and instructions were presented on a 1280 by 1024 resolution
screen, with the participants positioned 1 m from the screen.

digit was presented during the encoding. The gray box highlights the

283 Individual adjustments of SNR levels

To ensure equal intelligibility of the stimulus materials for all participants despite large inter-individual differences in hearing thresholds (see Figures 1C,D; Table 1), the background noise lev-337els were individually adjusted. To this end, participants listened338to and repeated 40 spoken sentences from the Swedish version of339the HINT (Hällgren et al., 2006). The output presentation level340was 70 dB SPL, which was presented through the DAI of the hear-341ing aids and amplified according to the individual audiograms.342

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343 In an adaptive tracking procedure (Levitt, 1971), we determined 344 the background noise level (measured as the signal to noise ratio between speech and background noise) at which each participant 345 346 was able to repeat 80% of the words in a sentence. This value for an 347 individual participant will be referred to as the Speech Reception 348 Threshold (SRT) of 80% (denoted 0 dB SRT80). In the Sternberg 349 test, the individual 0 dB SRT80 level was used as the intermediate background noise level for the participant in question. The lower 350 351 and higher background noise levels were generated by raising or 352 lowering the SNR by 4 dB from the obtained 0 dB SRT80, denoted 353 4 dB SRT80 and -4 dB SRT80, respectively. To maintain a constant 354 overall intensity level of the stimuli played from the presentation 355 computer at \sim 70 dB SPL, both the level of the signal (i.e., the 356 digits) and the level of the background noise were adjusted. For 357 instance, for the 4 dB SRT80 condition, the noise level was lowered by 2 dB in intensity, and the signal level was raised by 2 dB relative 358 to the 0 dB SRT80. 359

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361 Experimental procedure

362 After the individual adjustment of SNR levels, the actual exper-363 iment was performed. An auditory version of the Sternberg 364 paradigm (Sternberg, 1966), inspired by Obleser et al. (2012), was 365 used, employing a 3×3 design of the orthogonal factors mem-366 ory load (2, 4, or 6 digits to be remembered) and background 367 noise level (4 dB, 0 dB, or -4 dB relative to the individual level at 368 which 80% of the words were correctly recalled in noise). Each trial started with the presentation of a central fixation cross for 1-369 370 2 s (randomly varied duration), followed by the encoding phase, 371 in which 2, 4, or 6 digits were presented in speech-shaped noise 372 (Figures 1A,B). The noise onset always preceded the onset of the 373 first digit by 50 ms to avoid masking of the first digit by the noise 374 onset. In trials with two and four digits, flanking sounds of white 375 noise, at the same intensity level as the spoken digits, were pre-376 sented to always ensure the presentation of six sounds. The sounds 377 (digits and flanking noises) were presented with an onset-to-onset stimulus interval of 0.8 s, resulting in a total encoding time of 378 379 4.85 s, after which the noise was also terminated.

380 The encoding was followed by a stimulus-free delay period, 381 in which the participants were to retain the presented digits in their memory. The delay phase had a duration of 1-2 s (ran-382 383 domly varied). Lastly, a probe digit was presented in the same 384 background noise level as during the encoding interval. Again, 385 the noise started 50 ms prior to the probe digit. During this 386 50 ms interval, the fixation cross changed to a question mark, signaling that the participants were to indicate, via a button 387 388 press on a response box, whether the probe digit appeared in the 389 encoding phase (response window of 2 s). Participants were not 390 instructed to use any particular finger(s) for pressing the response 391 buttons, nor were the button positions varied between partici-392 pants. If participants required more than 2 s to respond, they 393 were instructed to be faster on the next trial and informed that 394 no response was recorded. Feedback was given after each trial, 395 consisting of either 'correct,' incorrect,' or 'no answer registered, please answer faster.' In half of the trials, the probe digit appeared 396 397 during encoding.

Trials for the nine conditions in the 3 (memory load) \times 3 (background noise level) design were presented in 10 blocks. Due to the length of the test, the 10 test blocks were separated into 400 401 two recordings of five blocks. Each recording lasted ~45 min with a break of 15 min between the two recordings. Each record-402 ing was initiated with a training block of 11-25 trials from all 403 404 nine conditions. Each test block consisted of a minimum of 18 trials with 2 trials for each condition, presented in a random-405 ized order. The actual number of trials per block was determined 406 by the number of unanswered trials. That is, for each trial in 407 which no answer was registered due to a response time longer 408 than 2 s, an extra trial was added to the block. Overall, 20 trials 409 with registered answers were recorded in each condition for each 410 participant. 411

EEG RECORDING AND PREPROCESSING

The EEG was recorded using an EGI system (Electrical Geodesic 414 Inc., Eugene, OR, USA) with 128 Ag/Ag-Cl channels. Six occipital 415 and one central electrode were disconnected from the elec-416 trode net and used for other physiological measurements which 417 will not be reported here. The EEG was recorded at a sam-418 pling rate of 250 Hz using Cz as the reference. All electrode 419 impedances were maintained below 50 kOhm. The EGI system 420 421 incorporates analog elliptical high- and low-pass with cut-off fre-422 quencies at 0.1 and 125 Hz (the Nyquist frequency), respectively. 423 Filtering was performed before analog-to-digital conversion of the EEG. 424

425 Offline, the EEG data were analyzed using customized MAT-LAB scripts (R2011b, MathWorks Inc.) and the Fieldtrip toolbox 426 (Oostenveld et al., 2011). Trials with response times longer than 427 2 s were excluded from all further analyses. The data were divided 428 into epochs of sufficient length (-5 to +11 s around the onset 429 of the first digit/flanking noise) to avoid data loss at the edges of 430 the time-frequency representations due to windowing effects. The 431 epoched data were bandpass filtered using an acausal sixth order 432 IIR Butterworth filter between 0.5 and 45 Hz and re-referenced 433 to the average of both mastoids. Before further analyses, 18 434 435 electrodes used for recording the electrooculogram (EOG) or positioned on the cheeks and jaw were removed for technical 436 reasons. 437

Individual channels containing artifacts were identified 438 through visual inspection and repaired by averaging over adjacent 439 440 electrodes (according to the nearest neighbor approach implemented in the *ft_channelrepair* function in Fieldtrip). Data from 441 one participant from the mild HL group was excluded from all 442 further analyses due to a high number of artifact-contaminated 443 channels. To remove further artifacts, an independent compo-444 nent analysis (ICA) was performed, and components containing 445 eye blinks, saccadic eye movements, muscle activity, and heart-446 beats were identified by inspection of components' topographies 447 and time courses and rejected. On average, 22% (SD: 6%) of the 448 components were removed. 449

The time-frequency representation of oscillatory power in each trial was obtained by convolution of single trial time domain data with a family of Morlet wavelets (width: seven cycles). This analysis was performed for frequencies from 0.5 to 30 Hz in steps of 0.5 Hz and from -5 to +11 s around the onset of the first digit/flanking noise in steps of 0.05 s. Note that this long time interval included the baseline period, encoding, delay, and probe (**Figure 1A**). The

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457 power of each time-frequency-electrode bin was calculated for each trial by taking the square norm of the complex wavelet coef-458 459 ficients. Adjustment for inter- and intra-individual variability in oscillatory power was performed by means of subtraction and 460 461 division by the average power of the first 0.4 to 1 s of the baseline 462 interval (relative change from baseline). For further analyses, each trial was split into the following periods: encoding, 0.4-4.8 s rela-463 tive to first digit/flanking noise onset; delay, 0.4-1 s relative to the 464 465 offset of the last digit/flanking noise; and probe, 0.4-1 s relative 466 to probe-digit onset. All intervals are outlined with white dashed 467 boxes in Figure 3A. All time intervals disregard the first 0.4 s as 468 to not include evoked activity after stimulus on- or offset in the 469 analysis.

471 STATISTICAL ANALYSES

472 A main motivation of the present study was to investigate the effect of HL on behavioral performance and alpha oscillations 473 in the auditory Sternberg task. However, HL was confounded 474 by age, as evidenced by a positive Pearson's correlation between 475 age and PTA (r = 0.44, p = 0.018). To obtain a measure of 476 HL that was independent of age, we calculated the residualized 477 PTA, quantifying the variation in PTA across participants that 478 could not be explained by age. In detail, the residualized PTA 479 was estimated as the residuals of the linear regression of PTA 480 on age. For the remainder of this paper, we will refer to the z-481 scored residualized PTA as 'rPTA.' In all further analyses, rPTA 482 was included as a continuous covariate. Moreover, we considered it 483 likely that brain compensatory mechanisms involved in overcom-484 ing the adverse listening conditions would not increase linearly 485 with HL, but drop with more severe HL, especially under high 486 memory load/background noise (see Introduction). To model this 487 negative quadratic (inverted u-shape) relationship between HL 488 and behavioral and brain responses, we additionally included the 489 quadratic term rPTA-squared as a second continuous covariate in 490 491 all further analyses.

Statistical analysis of behavioral data

First, we analyzed to what extent the individual adjustments515of SNR levels were dependent on participants' HL. To evaluate516whether individualization was needed, we calculated the Pearson's517correlation between the 0 dB SRT80 value from the HINT and the518non-residualized PTA.519

In the auditory Sternberg task, response times were mea-520 sured from the onset of the probe digit until the button press 521 by the participant to indicate whether the probe digit appeared 522 in the encoding. Accuracy was calculated as the percentage of 523 correctly answered trials. Changes in task accuracy and response 524 times as a function of the within-subject factors (memory load 525 and background noise level) and the continuous between-subjects 526 covariates (rPTA and rPTA-squared), were investigated using two 527 separate repeated-measures ANCOVAs. All ANCOVAs showed 528 violation of the assumption of sphericity (Mauchly's test, all 529 p < 0.05), hence the Greenhouse–Geisser corrected *p*-values were 530 calculated and reported for all results. Fisher's LSD tests were used 531 532 for all *post hoc* analyses.

To illustrate the quadratic relationship between rPTA and response times (**Figure 2C**), a quadratic function was fitted to the response time as a function of rPTA using the least-squares approach implemented in the MATLAB functions *polyfit* and *polyval.* 537

Statistical analysis of EEG data

In the analysis of the EEG data, alpha power was averaged across frequencies from 6–12 Hz in a subset of 31 electrodes (**Figure 3A**, topographic maps) and across three time intervals outlined in **Figure 3A**: encoding, 0.4–4.8 s relative to the onset of the first digit/flanking noise; delay, 0.4–1 s relative to the offset of the last digit/flanking noise; and probe, 0.4–1 s relative to the onset of the probe digit. The 31 electrodes were chosen to derive a centro-parietal scalp distribution, which has previously been identified as an important site for alpha activity generation



FIGURE 2 | Behavioral results. (A,B) Accuracy and response times in the auditory Sternberg task for participants with no HL (blue), mild HL (purple), and moderate HL (red) as a function of memory load (2, 4, 6 to-be-remembered items) and background noise level (4, 0, -4 dB SRT80). Error bars show ±1SEM. (C) Statistically significant quadratic regression between the *z*-scored rPTA and response times

(p = 0.025). The least-squares regression line is shown in black. The 95% confidence interval is shown in gray. The slight overlap in rPTA of the three groups of HL is because the three groups were created before the impact of age on HL was regressed out (see Materials and Methods for details). Note that higher rPTA values indicate more severe HL.

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(A) Grand-average time-frequency power representation during encoding, delay, and probe (averaged across all participants, in all nine experimental conditions, and for all 31 centro-parietal electrodes highlighted in the topographic maps). The topographic maps show the spatial distribution of alpha power (6–12 Hz) averaged over the time-frequency data highlighted

in the white dashed boxes, which were used for statistical analyses. **(B)** The bold lines show average alpha power in the three time periods (encoding, delay, and probe) separately for the three groups of HL (blue: no HL, purple: mild HL, red: moderate HL), with the colored areas indicating ± 1 SEM. The black dashed line indicates the average over these three groups.

during auditory processing (Krause et al., 1996). Average alpha power during encoding, delay and probe were subjected to three repeated-measures ANCOVAs with memory load and background noise level as within-subject factors and with rPTA and rPTAsquared as continuous between-subject covariates. All ANCOVAs showed violation of the assumption of sphericity (Mauchly's test, all p < 0.05), hence the Greenhouse–Geisser corrected *p*-values were calculated and reported for all results. All statistical analyses were performed using Statistica (version 12, StatSoft, Tulsa, OK, USA).

To illustrate the quadratic relationship between rPTA and alpha power (**Figure 4B**), the fitting procedure described in the section above was applied.

Studies have previously shown an interaction between response
time and alpha activity (Klimesch, 2005). Relations between alpha
activity during the probe period and response time were therefore
evaluated using Pearson's correlation.

620 **RESULTS**

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621 INDIVIDUAL ADJUSTMENTS OF SNR LEVELS

The individual adjustments of SNR levels using the SRT80 measure resulted in an average 0 dB SRT80 value of 4.61 dB [standard error of the mean (SEM) = 0.86], meaning that participants on average required an SNR level of 4.61 dB to successfully repeat 80% of words from sentences presented in noise. The 0 dB SRT80 values correlated positively with participants' non-residualized PTA (r = 0.76; p < 0.001). This indicates that participants with more severe HL required a higher SNR level of stimulus materials.

MEMORY LOAD, BACKGROUND NOISE LEVEL, AND HEARING LOSS IMPACT PERFORMANCE

Figure 2A shows the average accuracy for the three levels of mem-664 ory load (2, 4, 6 digits) and the three background noise levels 665 (-4 dB SRT80, 0 dB SRT80, 4 dB SRT80) in the auditory Sternberg 666 task. The main effect of memory load on accuracy was significant 667 [F(2,50) = 6.26, p = 0.005]. Post hoc tests revealed significantly 668 increased accuracy for two compared with six items (p < 0.001) 669 and for four compared with six items (p = 0.002) but not for 670 two compared with four items (p = 0.718). Additionally, the 671 main effect of background noise level on accuracy was significant 672 [F(2,50) = 28.35, p < 0.001], with the post hoc analysis show-673 ing a significant decrease in accuracy with increasing noise level 674 (all p < 0.01). There were no significant main effects of rPTA 675 [F(1,25) = 1.86, p = 0.185) or rPTA-squared [F(1,25) = 1.94,676 p = 0.176], indicating that the degree of HL by itself did not sig-677 nificantly impact task accuracy. None of the interactions between 678 background noise level, memory load, rPTA, and rPTA-squared 679 were significant (all p > 0.195). 680

Figure 2B shows the average response times for the three memory loads and background noise levels. The main effect of memory load on response times was significant [F(2,50) = 24.73, 683 p < 0.001]. *Post hoc* tests revealed significantly longer response 684



FIGURE 4 | Hearing loss affects alpha power in the delay period. (A) The significant linear relationship between alpha power in the delay interval and rPTA (p = 0.048). The regression line is shown with a solid black line, and the 95% confidence interval of the regression is shown in thin lines. **(B)** The three panels show the significant interaction between memory load, background noise level, and rPTA-squared, illustrated with

quadratic fits between alpha power and rPTA for each background noise level (green: 4, light blue: 0, and dark red: -4 dB SRT80). Each panel shows one of the three memory load conditions (2, 4, and 6 items to be remembered) with alpha power during the delay interval as a function of rPTA with HL groups indicated on the *x*-axis (blue, no HL; purple, mild HL; red, moderate HL).

times for six compared with four and two to-be-retained digits, as well as for four compared with two digits (all p < 0.001). The main effect of background noise on response times was significant as well [F(2,50) = 8.34, p = 0.001]. Post hoc tests revealed significantly longer response times for the highest background noise level (-4 dB SRT80) compared with the intermediate noise level (0 dB SRT80; p < 0.001) and the lowest background noise level (4 dB SRT80; p = 0.003). Response times in the four and 0 dB SRT80 conditions did not differ significantly (p = 0.328). Interestingly, the main effect of rPTA-squared on response times was significant [F(1,25) = 5.69, p = 0.025]. This indicated a significant quadratic relationship between response times and the degree of HL in such a way that response times increased from no to mild HL, while response times decreased again for participants with the most severe HL (see Figure 2C). Neither the main effect of rPTA [F(1,25) = 1.85, p = 0.185], nor any interaction between memory load, background noise, rPTA, and rPTA-squared (all $p \ge 0.13$) reached significance.

729 TEMPORAL DYNAMICS OF ALPHA OSCILLATIONS

Figure 3A shows the grand-average baseline corrected time-frequency power representation (collapsed over all nine experi-mental conditions) for all participants throughout the encoding, delay, and probe periods of the auditory Sternberg. The time course of alpha power (6-12 Hz; averaged over 31 scalp electrodes highlighted in topographic maps) for the three groups of HL are indicated in Figure 3B. Descriptively, alpha power decreased over the trial time course from encoding to delay and also during the probe interval. Normal hearing participants (no HL) exhibited the lowest alpha power in encoding, delay and probe, while the mild HL group showed the highest and the moderate HL group exhibited intermediate alpha power.

Hearing loss affects alpha oscillations under load

We analyzed whether alpha power during the stimulus-free delay interval was dependent on memory load, background noise level, and HL. To this end, the average alpha power (6-12 Hz) across 31 centro-parietal electrodes during the delay interval (0.4-1 s relative to the offset of the background noise) was submitted to a repeated-measures ANCOVA with the factors memory load and background noise level and the continuous covariates rPTA and rPTA-squared. None of the main effects including back-ground noise level [F(2,50) = 1.23, p = 0.299], memory load [F(2,50) = 0.04, p = 0.598], or rPTA-squared [F(1,25) < 0.01,p = 0.989] were significant. Importantly, however, the main effect rPTA was significant [F(1,25) = 4.31, p = 0.0483], indicating that alpha power during the delay increased significantly with the degree of HL (Figure 4A).

Moreover, the two-way interaction background noise level \times rPTA-squared [F(2,50) = 6.34, p = 0.004] as well as the three-way interaction background noise level × rPTA-squared \times memory load were significant [F(4,100) = 2.86, p = 0.042]. The direction of the significant three-way interac-tion is illustrated in Figure 4B. For the two lower memory loads (two and four to-be-remembered items), alpha power during the delay period increased moderately with the degree of HL for all background noise levels. This pattern of results changed signif-icantly under the highest memory load (six to-be-remembered digits); here, alpha power strongly increased with HL under the two more favorable background noise levels (4 and 0 dB SRT80), but under the most severe background noise level (-4 dB SRT 80), alpha power increased only for participants with mild HL, whereas it decreased again for participants with moderate HL. The significant interaction between background noise level and rPTA (p = 0.004) is not shown, but resembles the same behavior

as observed for six items to be remembered shown in **Figure 4B**. None of the remaining interactions among rPTA, rPTA-squared, memory load, and background noise level were significant (all p > 0.15).

Solid lines indicate quadratic fits, while thin lines indicate the
 corresponding 95% confidence interval bounds. Note that higher
 rPTA values indicate more severe HL.

806 The main hypothesis of this experiment was focused on identifying condition and HL effects on alpha power during the delay. 807 808 However, as Obleser et al. (2012) also report smaller condition 809 effects during the encoding and probe period. We therefore inves-810 tigated alpha power during the encoding (0.4-4.8 s relative to the 811 onset of the first digit/flanking noise) and probe (0.4-1 s relative to 812 probe digit onset) interval as well. For the encoding interval, none 813 of the main effects of memory load, background noise level, rPTA, 814 and rPTA-squared, nor any interactions reached significance (all 815 p > 0.14). During the presentation of the probe, a main effect of rPTA-squared was found [F(1,25) = 9.63, p = 0.004], while no 816 817 other main effects or interactions were significant (all p > 0.120). 818 Notably, an effect of rPTA-squared is also observed on the response 819 time and the relationship between alpha activity during the probe, and the response time was investigated. A Pearson's correlation 820 showed a positive relationship (r = 0.35, p = 0.068) between alpha 821 822 power during the probe and response times, meaning that partic-823 ipants with higher alpha power during the probe interval showed 824 longer response times. A similar relationship was not observed between the alpha power during the delay period and the response 825 times (r = 0.15, p = 0.42). 826

828 **DISCUSSION**

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829 In this study, we tested whether HL in older participants had 830 an impact on the neural mechanisms of WM under changing 831 task demands implemented by varying degrees of memory load 832 and background noise. Our main findings can be summarized 833 as follows: first, irrespective of HL, increasing memory load and 834 higher background noise levels led to performance decrements 835 in the auditory Sternberg paradigm. Second, the effects of the 836 increasing memory load and background noise level on alpha 837 activity during the delay were co-determined by the degree of 838 HL. That is, participants suffering from a higher degree of HL 839 exhibited a breakdown in alpha activity with increasing task dif-840 ficulty, which was not observed for the participants with mild or 841 no HL. These findings show how an internal auditory degrada-842 tion (i.e., HL) interacts with external acoustic challenges during 843 adverse listening.

845 THE EFFECT OF RETAINING AUDITORY STIMULI

Effects of WM processing on alpha power have been often observed
only during the retention of stimuli in both auditory (van Dijk
et al., 2010; Obleser and Weisz, 2012; Obleser et al., 2012; Becker
et al., 2013; Scharinger et al., 2014) and visual tasks (Jensen et al.,
2002; Schack and Klimesch, 2002; Sander et al., 2012b). It was
therefore not unexpected that modulations of alpha power in this
study were also found in the delay period.

The linear main effect of rPTA on alpha power in the delay period (**Figure 4A**) showed that alpha power increases with more severe HL, independent of task difficulty. This linear effect occurs despite the quadratic tendency seen in Figure 3B. The linear rela-856 tionship in Figure 4A arose from large individual differences in 857 alpha power, especially in the mildly impaired group, and was also 858 affected by the residualization performed to remove age effects: 859 first, this dependence of alpha power on HL is observed during 860 the retention of the to-be-remembered digits, where no active 861 listening is involved. Second, all participants were wearing hear-862 ing aids to equalize audibility of the digits presented during the 863 encoding across participants. Interpreting the alpha activity as a 864 sign of WM involvement (Jensen et al., 2002), our study shows that 865 a higher degree of WM involvement is needed to overcome more 866 severe HL to successfully retain the auditory information. This 867 view of increased WM involvement with increased HL has been 868 put forward in a number of studies (Pichora-Fuller and Singh, 869 2006; Rönnberg et al., 2008; Shinn-Cunningham and Best, 2008). 870 The Ease of Language Understanding (ELU) model developed 871 by Rönnberg et al. (2008) explains the involvement of the WM 872 in speech understanding under adverse conditions. In detail, the 873 ELU model builds on the ability to match auditory stimuli with 874 a preexisting long-term memory store of phonological represen-875 tations. When suffering from a HL, this match cannot readily be 876 made due to the internal degradation. Hence WM processes are 877 required for extracting acoustical cues that can trigger a phono-878 logical match and ensure a successful understanding. In line with 879 the ELU model, the linear relationship between HL and alpha 880 power can be interpreted as the increased WM resources needed 881 to perform successful phonological matching in listeners with HL. 882 Interestingly, the effect of HL on alpha activity is observed for par-883 ticipants wearing hearing aids, which is thought to ensure equal 884 audibility, but arguably cannot restore the WM resources needed 885 to retain speech stimuli. 886

Hearing aids can indeed ensure audibility and restore intelli-887 gibility in quiet situations, while other aspects of listening, such 888 as processing of temporal cues, are not alleviated by amplifica-889 tion (Ardoint et al., 2010). Furthermore, speech intelligibility in 890 noisy situations also remains affected by HL and cannot be fully 891 restored by amplification (Plomp, 1978; Dillon, 2001). This is 892 indeed evident from the positive relationship between HL and the 893 0 dB SRT80 value. Peelle et al. (2011) found that increased HL 894 was correlated with decreased gray matter volume of the auditory 895 cortex, i.e., a structural change in the brain. If HL causes structural 896 changes in the auditory cortex, this might explain why individual 897 HL compensation via amplification does not nullify such struc-898 tural deviation in the auditory system, and HL-dependent effects, 899 such as the present ones, are observed despite hearing aids being 900 901 employed.

The impact of the experimental conditions (memory load and 902 background noise level) proved only to be significant in inter-903 actions with HL. Our results showed that when increasing the 904 external degradation, i.e., the background noise level, an increase 905 in alpha activity with HL was observed for the lower levels of 906 background noise. However, for the highest background noise 907 level, a breakdown in alpha activity was observed for the par-908 ticipants with the most severe degree of HL tested in this study 909 (moderate HL). This breakdown in alpha power is only observed 910 when participants have to remember six digits in the most diffi-911 cult noise condition (Figure 4B). The almost linear increase in 912

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alpha power with HL severity observed at lower background noise 913 914 levels (4 and 0 dB SRT80) suggests that although the noise levels 915 are individualized, participants with increased HL require addi-916 tional WM resources to be able to perform the task. Indeed, it 917 has previously been suggested that people suffering from HL need 918 to allocate additional resources to process auditory information (Rabbitt, 1991). The findings in this study lend neural support to 919 920 this hypothesis.

921 The breakdown in alpha power with increased HL and back-922 ground noise level further suggests that the participants suffering 923 from reduced hearing reach a ceiling at which no further enhance-924 ment in alpha activity can be achieved, and alpha power begins to 925 decrease. Such alpha power breakdown has been observed before 926 when older participants, not considering HL, are subjected to a 927 higher WM load in a visual Sternberg task, while no effect of 928 age was observed on task accuracy (Sander et al., 2012b). Similar 929 findings of neural activity breakdown with high WM loads for increasing age have been observed in fMRI studies (Reuter-Lorenz 930 931 and Cappell, 2008; Schneider-Garces et al., 2010; Grady, 2012). 932 Also here, the activity breakdown is not necessarily accompanied 933 by changes in task accuracy. According to the "compensation-934 related utilization of neural circuits" (CRUNCH) hypothesis, the brain increases its activation to engage more neural resources 935 936 as a result of aging, independent of WM involvement. How-937 ever, with increasing WM demands, this recruitment reaches a 938 ceiling, and the activity decreases, although no changes in task performance are observed (Reuter-Lorenz and Cappell, 2008). 939 We suggest that, similar to increasing age, more severe HL can 940 941 cause neural activity breakdown as a result of having to engage 942 more WM resources than participants with better hearing. It is 943 believed that the cause of the observed breakdown is a combina-944 tion of the two observations that: participants with more severe 945 HL experience generally higher WM involvement (independent of 946 experimental conditions, Figure 4A) and during WM tasks they 947 have increased WM involvement (Figure 4B). To our knowledge our results are the first to demonstrate a breakdown of neural 948 949 activity with increased HL.

950 Alpha power during the delay was affected by memory load in a 951 three-way interaction with background noise and rPTA-squared. 952 Our experimental design was modified from the auditory Stern-953 berg task applied by Obleser et al. (2012), who found main effects 954 of both memory load and auditory degradation (obtained through 955 noise-vocoding of the digits) on alpha activity. The lack of a main 956 effect of memory load in the present study might be explained best by the differences in participants (older hearing impaired 957 958 vs. younger normal hearing), rather than auditory degradation 959 (background noise vs. noise-vocoding). Both of these changes 960 were introduced to achieve some gain in external validity in the 961 present study.

962 Although we corrected for the difference in age between partic-963 ipants in this study, we cannot account for the average differences 964 between younger and older persons, which has been proven to 965 affect both alpha activity and WM resources (Klimesch, 1999; Sander et al., 2012a). Although increased age might have resulted 966 in participants having generally less WM resources available and 967 968 thereby reaching alpha power breakdown, differences in cohort 969 age between the studies cannot explain the non-significant main effect of memory load in the present study. We suggest that the lack 970 971 of memory load effect can be explained by the fact that the hearing impaired participants are already performing at the ceiling 972 and cannot further increase their alpha activity when subjected 973 974 to higher memory loads and/or background noise levels. This statement is supported by two observations: firstly, that the alpha 975 976 power increased with HL, independent of the experimental condition. Secondly, that the conditions effects (rPTA × background 977 noise level and rPTA \times background noise level \times memory load) 978 979 showed a decrease in alpha power for the moderately impaired participants, c.f. Figure 4B. 980

NO EFFECTS OF HEARING LOSS ON TASK ACCURACY

To adjust for the differences in HL, the background noise levels 983 were individualized using the SRT80 measure obtained from the 984 HINT test (for details see Materials and Methods). The positive 985 relation between rPTA and 0 dB SRT80 shows that for participants 986 with more severe HL a lower background noise level (i.e., higher 987 0 dB SRT80) is needed. This relationship emphasizes the impor-988 tance of individualizing the background noise level to ensure equal 989 task accuracy across all participants, independent of HL. Indeed, 990 the non-significant effect of HL on task accuracy confirms the 991 success of applying individual noise levels. 992

993 As hypothesized, task accuracy significantly decreased both with increased memory load and background noise level. As 994 Figure 2A shows, background noise levels showed stronger effects 995 on task accuracy than changes in the memory load. In line with the 996 modulations of alpha activity, this finding emphasizes that audi-997 tory degradation induces a larger WM involvement than changes 998 in the memory load for the memory loads and background noise 999 level tested in this study. Significant effects of the experimen-1000 tal conditions on task accuracy have sometimes been reported 1001 in auditory and visual Sternberg tasks (Rojas et al., 2000; Jensen 1002 et al., 2002; Sander et al., 2012b), but most studies aim at having 1003 no condition effects on accuracy (Sternberg, 1966; Lehtelä et al., 1004 1997; Leiberg et al., 2006; Obleser et al., 2012). As noted by Rojas 1005 et al. (2000), the confounding effect of task accuracy on response 1006 time and alpha activity makes it impossible to determine whether 1007 1008 WM processing is indeed involved in solving the task, especially for wrongly answered trials. In this study, effects of memory load 1009 and background noise level on task accuracy were found, which 1010 is a limitation of the study. However, obtaining task accuracies 1011 close to 100% correct for all conditions and participants would 1012 1013 require troublesome and time consuming individualization. Alternatively, including only the correctly answered trials in the current 1014 1015 analysis would result in an unfeasibly low number of trials per condition. However, as we observe effects of HL on the alpha 1016 power, we believe that WM processing was involved during task 1017 solving. 1018

The response times were affected both by the experimental 1019 conditions (Figure 2B) and HL (Figure 2C), the latter show-1020 ing a speed-up in response times with increased HL. As a sign 1021 of stimulus retrieval (Sternberg, 1966), it was expected that the 1022 response time would show effects of the experimental condition 1023 as well as HL. The increase in response times from normal to 1024 mildly impaired hearing suggests that increasing internal degra-1025 dation of the auditory signal results in longer processing times of 1026 the probe digit. As HL increases from mild to moderate, the par-ticipants strategy might change resulting in shorter response times(Figure 2C).

The effect of rPTA-squared on alpha activity during the probe also proved to be significant and although the correlation between the alpha activity during the probe and the response times only approached significance (p = 0.068), we believe that the changes in alpha power during the probe period arise from changes in the speed of information processing (Klimesch, 2005) and not WM processing as such.

In summary, the present findings suggest that despite being 1037 1038 compensated for the loss of hearing through hearing aid amplifi-1039 cation and by individually setting the administered signal-to-noise ratios, higher degrees of HL are detrimentally affecting a cardi-1040 1041 nal neural mechanism of overcoming adverse listening conditions, namely the increase in posterior alpha power. Apparently, partic-1042 1043 ipants with moderate HL reach a ceiling level at which no more 1044 WM resources can be recruited, and thus alpha power begins to 1045 decrease again. These findings not only reveal that hearing aid amplification by itself is not sufficient for restoring normal neural 1046 signatures of auditory processing, but also suggest that persons 1047 1048 suffering from a higher degree of HL reach a WM limit at a lower 1049 task demand.

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Q10

1209 Q11

February 2015 | Volume 6 | Article 177 | 11