

1 **Neural tracking of attended versus ignored speech is**
2 **differentially affected by hearing loss**

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19 correlation

20
21 Running title: Neural speech tracking and hearing loss

23 **Abstract**

24 Hearing loss manifests as a reduced ability to understand speech, particularly in multi-talker situations. In
25 these situations, younger normal-hearing listeners' brains are known to track attended speech through
26 phase-locking of neural activity to the slow-varying envelope of the speech. This study investigates how
27 hearing loss, compensated by hearing aids, affects the neural tracking of the speech-onset envelope in
28 elderly participants with varying degree of hearing loss ($N = 27$, 62–86 years, hearing thresholds 11–73 dB
29 hearing level). In an active listening task, a to-be-attended audiobook (signal) was either presented in quiet
30 or against a competing to-be-ignored audiobook (noise), presented at three individualized signal-to-noise
31 ratios (SNR). The neural tracking of the to-be-attended and to-be-ignored speech was quantified through
32 the cross-correlation of the electroencephalogram (EEG) and the temporal envelope of speech. We
33 primarily investigated the effects of hearing loss and SNR on the neural envelope tracking. First, we found
34 that elderly hearing-impaired listeners' neural responses reliably track the envelope of to-be-attended
35 speech more than to-be-ignored speech. Second, hearing loss relates to the neural tracking of to-be-
36 ignored speech, resulting in a weaker differential neural tracking of to-be-attended versus to-be-ignored
37 speech in listeners with worse hearing. Third, neural tracking of to-be-attended speech increased with
38 decreasing background noise. Critically, the beneficial effect of reduced noise on neural speech tracking
39 decreased with stronger hearing loss. In sum, our results show that a common sensorineural processing
40 deficit, i.e., hearing loss, interacts with central attention mechanisms and reduces the differential tracking
41 of attended and ignored speech.

42

43 **New & Noteworthy**

44 The current study investigates the effect of hearing loss in older listeners on the neural speech tracking of
45 competing speech. Interestingly, we observe that whereas internal degradation (hearing loss) relates to the
46 neural tracking of ignored speech, external sound degradation (ratio between attended and ignored
47 speech; SNR) relates to tracking of attended speech. This provides the first evidence for hearing loss
48 affecting the ability to neurally tracking speech.

49 **Introduction**

50 The ability to successfully distinguish between multiple talkers and selectively direct attention towards a
51 particular speech stream is the heart of human communication (Cherry, 1953; McDermott, 2009). In such
52 multi-talker situations, the neural response in the magneto/electroencephalogram (M/EEG) has been
53 shown to phase-lock to the slow-amplitude fluctuations, often referred to as the broad-band “envelope”, of
54 the speech signal. Neural phase-locking has been observed not only for speech, but for a variety of
55 intelligible and unintelligible auditory stimuli (for review, see Ding and Simon, 2014; Zoefel and VanRullen,
56 2015). It has been proposed that upon neural detection of linguistic features, speech-specific brain regions
57 are activated and higher-order processing initiated (Zoefel and VanRullen, 2015). As such, neural phase-
58 locking is not solely driven by changes in the acoustic cue of the auditory stimuli, but also reflects cortical
59 encoding and processing of the auditory signal. The phase-locking of neural activity to speech is often
60 referred to as “neural tracking of speech” (Wöstmann et al., under revision; Zoefel and VanRullen, 2015).
61 Interestingly, in a multi-talker situation, selective attention to one speaker results in stronger neural phase-
62 locking to attended than ignored speech in younger normal-hearing listeners (Kerlin et al., 2010; Ding and
63 Simon, 2012a; Mesgarani and Chang, 2012; Horton et al., 2013; O’Sullivan et al., 2015). This neural
64 evidence for the processing of attended and ignored speech as separate auditory streams (Simon, 2015),
65 supports previous behavioral studies showing that based on features from the auditory scene, attention
66 can be exerted as to focus on a particular objects, while keeping other objects in the perceptual
67 background (for review, see Shinn-Cunningham, 2008; Shinn-Cunningham and Best, 2008). This ability to
68 perform attentional selection is essential for higher-level processing, such as successfully understanding the
69 meaning of speech (Ding and Simon, 2014). Currently, the ability to perform neural speech tracking has
70 only been investigated for younger normal-hearing listeners. Although it is known that listeners suffering
71 from hearing loss (HL) experience great difficulties in multi-talker situation (Bronkhorst, 2000; Shinn-
72 Cunningham and Best, 2008), it is unknown whether the deteriorating effect of HL on the afferent auditory
73 signal cause changes in the neural tracking of speech.

74 Sensorineural HL causes distortion in the representation of auditory signals on the level of the cochlear,
75 we say that HL causes an *internal* degradation of sounds. HL is often treated with hearing aids, through
76 which incoming sounds are amplified in order to improve the audibility. Hence, hearing aids reduce the
77 internal degradation and consequently relieve the cognitive resources deployed in correcting for the
78 degradation on a higher-processing level (Pichora-Fuller et al., 1995; Lunner et al., 2009). However, despite
79 adequate hearing-aid compensation, HL still affects the central processing of sound through reduced
80 temporal precision (Tremblay and Ross, 2007) and contribute to gray-matter loss in the primary auditory

81 cortex (Peelle et al., 2011). Behavioral studies also found that despite hearing-aid compensation, listeners
82 with a HL experience deficits in the ability to: (1) process temporal fine-structure (Hopkins et al., 2008;
83 Lunner et al., 2012), (2) understand speech in noise (Lunner, 2003), and (3) take advantage of spatial
84 separation between talkers (Neher et al., 2009). The current study focuses on the possible effect of HL on
85 the neural tracking of attended and ignored speech after hearing-aid compensation. This approach offers
86 an alternative, and in some cases more realistic, way of looking at the effects of acoustic degradation.

87 Until now, the effect of acoustic degradation on neural speech tracking in younger normal-hearing
88 listeners has only been investigated by *externally* degrading sounds. Since HL cause deficits in the ability to
89 understand speech in noise and process temporal fine-structure, these two mechanisms of externally
90 degrading the auditory signal presented to normal-hearing listeners are of special interest. Manipulating
91 the signal-to-noise ratio (SNR) between the attended and ignored talker has been found to affect the
92 neural tracking of attended speech around 50 ms after stimulus presentation (Ding and Simon, 2013a, SNR
93 range: quiet, +6 to -9 dB). However, others have reported no effect of varying the SNR on the neural
94 tracking of attended speech (Ding and Simon, 2012a, SNR range +8 to -8 dB; Kong et al., 2014, SNR range:
95 quiet, +6, and 0 dB). More consistent findings have been reported on the effect of externally degrading the
96 temporal fine-structure. Applying noise-vocoding of attended speech has been found to reduce the neural
97 tracking of it when presented in quiet (Ding et al., 2013; Peelle et al., 2013), competing speech (Kong et al.,
98 2015), and stationary noise (Ding et al., 2013). So far, studies have focused on the effect of external
99 degradation of speech, while it is still unknown whether *internal* degradation of the auditory input, through
100 sensorineural HL, influences the neural tracking of attended and ignored speech.

101 The aim of this study is twofold. First, we investigate how HL in elderly participants affects the neural
102 tracking of attended and ignored speech in the EEG. Second, we test whether HL modulates the neural
103 tracking of speech when altering the SNR between the attended and ignored talker. We hypothesize that
104 listeners with more severe HL (i.e., with more internal degradation of sound) will exhibit reduced tracking
105 of speech, evidenced by a diminished cross-correlation of the speech-onset envelope and the EEG
106 response. Furthermore, we expect to find that lower SNRs (higher external sound degradation) result in
107 stronger encoding of the competing ignored speech and weaker encoding of the attended speech relative
108 to conditions with higher SNRs.

109

110 **Methods**

111 **PARTICIPANTS**

112 Twenty-seven native Swedish speaking participants (16 females, age range: 62–86 years) were recruited
113 from the audiology clinic at the University Hospital of Linköping. The data from two additional participants
114 were recorded, but excluded from all analyses due to a high degree of noise contamination in the EEG. All
115 participants gave their written informed consent and the study was approved by the regional ethical board
116 in Linköping, Sweden. For further details on participants' and methods, specifically the individualization of
117 SNR levels, quantifying the HL, and recording of the EEG, see (Petersen et al., 2015).

118 **Hearing abilities:** Individual pure-tone audiometric thresholds for all participants are shown in **Figure 1A**.
119 To obtain a single score reflecting the individuals' hearing ability, we calculated the pure-tone average
120 (PTA) across the frequencies 0.5, 1, 2, 4, and 8 kHz, which ranged from 11 dB hearing level (normal hearing)
121 to 73 dB hearing level (severe HL). The PTA was found to significantly increase with age ($r_{\text{pearson}} = 0.398$, $p =$
122 0.033 ; **Figure 1B**).

123 **EXPERIMENTAL DESIGN**

124 **Listening task:** In the experiment, all participants were wearing Oticon Agil hearing aids (Oticon A/S,
125 Smørum, Denmark) with individual quasi-linear amplification. In the current experiment, the dynamics of
126 the auditory stimuli is slow-varying, hence the speech envelope is preserved through means of slow
127 compression times (Dillon, 2001). All stimuli were presented directly through the hearing aids using the
128 direct audio input (DAI), i.e., no free field presentation (see **Figure 1D**). The noise reduction algorithm and
129 volume control of the hearing aids were turned off during the experiment. The experiment was conducted
130 in an electrically shielded soundproof booth.

131 A 12-minutes section of the Swedish version of the audiobook 'Simple Genius' by David Baldacci,
132 narrated by a male target talker (fundamental frequency 113.5 Hz), was presented diotically. In four
133 intervals of three minutes each, the story was either narrated in quiet or masked at three different
134 individualized SNR levels (see section on SNR individualization below; **Figure 1D**). The presentation order of
135 the four SNR levels were randomized. The masker was a diotically presented female talker (fundamental
136 frequency 179.5 Hz) narrating 'The Wonderful Adventures of Nils' by Selma Lagerlöf. Participants were
137 instructed to attend to the male talker while ignoring the female talker. The duration of the pauses were
138 computed for both speech signals and the distributions tested against each other to establish whether one

139 talker had significantly longer pauses than the other. A two-sample Kolmogorov-Smirnov test revealed no
140 difference in the pause-durations between the talkers ($D(145) = 0.131, p = 0.153$).

141 At the end of the listening task, participants were prompted with four question regarding the content of
142 the attended story. The question were presented visually in a three-alternative forced-choice manner, with
143 one question relating to the story heard during each of the four SNR levels.

144

145

<<Place Figure 1 here>>

146

147 **SNR individualization:** To avoid unequal intelligibility of the auditory stimuli due to differences in
148 participants' hearing, individualized SNR levels were determined prior to the EEG experiment. The
149 individualized SNR levels were estimated using the Swedish version of the hearing in noise test (HINT;
150 Hällgren et al, 2006). In the HINT test, participants were presented with 40 spoken sentences embedded in
151 speech-shaped steady-state noise at an output presentation level of 70 dB SPL, presented through the DAI
152 of the hearing aids and amplified according to the individuals' audiogram. Using an adaptive tracking
153 procedure (Levitt, 1971), the background noise level (measured as the SNR) at which each participant was
154 able to repeat 80% of the words in a sentence was determined. This individual noise values is known as the
155 Speech Reception Threshold (SRT) at 80% (SRT80). In the EEG experiment, the individual SRT80 level was
156 used as the intermediate background-noise level for the participant (denoted 0 dB SRT80). By raising and
157 lowering the SNR by 4 dB from the 0 dB SRT80 level, the more favorable (+4 dB SRT80) and less favorable (–
158 4 dB SRT80) SNR levels were created. As such, a listener with an SRT80-value of -1 dB SNR was subjected to
159 background-noise levels at +3 dB SNR (+4 dB SRT80), -1 dB SNR (0 dB SRT80), and –5 dB SNR (–4 dB SRT80).
160 Of the 81 recorded conditions (27 participants and 3 background-noise levels) where attended and ignored
161 speech were presented simultaneously, 20 of them (24.7%) had SNRs at or below 0 dB. Practically, both the
162 level of the to-be-attended and the to-be-ignored signal were adjusted to maintain a constant presentation
163 level of 70 dB SPL, before hearing-aid amplification.

164 The individually determined SRT80 level had an average value of 4.61 dB (standard error of the mean
165 (SEM) = 0.86 dB, range –1 to 12.7 dB). A significant increase in the SRT80 value with higher PTA was found
166 ($r_{Pearson} = 0.768, p < 0.001$), i.e., participants with worse hearing required better SNR to maintain a
167 performance of 80%.

168

169 EEG RECORDING AND ANALYSIS

170 **Data recording and preprocessing:** The EEG was recorded using the EGI system (Electrical Geodesic Inc.,
171 Eugene, OR, USA) with 103 scalp electrodes at a sampling frequency of 250 Hz. Offline, the raw EEG data
172 were bandpass-filtered between 0.5 and 45 Hz using an 6th order Butterworth filter, and re-referenced from
173 Cz to the mean of the left and right mastoids. All analyses were done using customized MATLAB scripts
174 (R2011b, Mathworks Inc.) and the Fieldtrip toolbox (Oostenveld et al., 2011).

175 Independent component analysis (ICA) was performed on the continuous data and components
176 corresponding to eye blinks, saccadic eye movements, muscle activity, and heartbeats were identified by
177 visual inspection of components' topographies and time courses and rejected. The data were projected
178 back to electrode-time space before the continuous recordings were separated into four 3-minute
179 segments based on the SNR level applied to the particular segment.

180 **Calculation of neural speech tracking:** The speech-onset envelopes were extracted by first calculating the
181 absolute of the Hilbert transform of the speech signals. This transform was low-pass filtered at 25 Hz (3rd
182 order Butterworth filter) and the first derivative was taken before it was half-wave rectified and down
183 sampled to the sampling frequency of the EEG (250 Hz) (Hambrook and Tata, 2014). By taking the first
184 derivative of the speech envelope (hence denoted speech-onset envelope), the salient changes in the
185 speech signal are emphasized, specifically at the onset of tones and syllables. Practically, using the first
186 derivative of the speech envelop removes potential drift in the correlation between EEG and speech
187 envelope.

188 For each of the four 3-minute segments, seventeen 10-second epochs were extracted from each
189 channel of the EEG, disregarding the first and last 5 seconds of each segment. To measure how well the
190 neural response phase-locked to the envelope of the speech stimuli, we used the cross-correlation. In
191 detail, for each 10-second epoch and channel, three cross-correlations were calculated between the EEG
192 and (1) the speech-onset envelope of the to-be-attended talker, (2) the speech-onset envelope of the to-
193 be-ignored talker, and (3) the speech-onset envelope of the to-be-attended talker taken from a random
194 part of the story (i.e., non-time-aligned) in order to obtain a control condition of the overall
195 correspondence between the EEG signal and the speech-onset envelope. From hereon the three cross-
196 correlations will be denoted the "attended", the "ignored", and the "control" condition, respectively (see
197 **Figure 1D**).

198 In general, the cross-correlation measures the similarity between the EEG response and the speech-
199 onset envelope as a function of temporal displacement between the two signals, i.e., time-lag. The cross-

200 correlation coefficients ($r_{crosscorr}$) possibly range between -1 and $+1$, with values closer to 0 indicating no
201 resemblance and values near ± 1 indicating a perfect linear correspondence of the EEG response and
202 speech-onset envelope. Whereas the cross-correlations with attended and ignored speech both reflect the
203 encoding of speech being presented to the participants, the control condition takes into account the
204 temporal characteristics of the attended talker, but without being systematically related to the particular
205 segment of EEG it was correlated with.

206 The effect of attention on the neural tracking of speech was quantified by subtracting the cross-
207 correlation coefficients of the ignored condition from that of the attended condition (i.e., attended-
208 ignored) for each participant and SNR level. In one 3-minute segment of the listening task, the attended
209 speech was presented in quiet; hence, no ignored response could be calculated. Consequently, the ignored
210 and attended-ignored conditions included only responses for the three SNR levels where the competing
211 talker was presented (+4, 0, and -4 dB SRT80).

212 STATISTICAL ANALYSES

213 Statistical effects of the categorical factor of SNR level (quiet, +4 dB SRT80, 0 dB SRT80, and -4 dB SRT80)
214 experimentally varied within subjects and the continuous covariate HL (measured as rPTA, see below)
215 varying between subjects, on the cross-correlations were investigated. Critically, for the investigation of the
216 cross-correlation responses in the active listening conditions (attended, ignored, and attended-ignored),
217 the control condition acted as a baseline by testing the remaining conditions against the control.

218 **Statistical elimination of age-effects from the measure of hearing loss:** In order to investigate the effect of
219 HL on the neural tracking of speech, irrespective of possible effects of participants' age, we utilized the
220 residuals resulting from the linear regression of PTA on age. The z-scored residualized PTA will be referred
221 to as $rPTA$ and employed in all further analysis (the same measure was used before by Petersen et al.,
222 2015).

223 **Behavioral data:** Whether the proportion of correct answers differed between SNR levels were tested using
224 a Chi-square test. The relationship between the accuracy and HL was investigated using Pearson's
225 correlation between the proportion of correct answers pooled across SNR levels for each participant and
226 rPTA.

227 **Neural tracking of attended and ignored speech:** Statistical comparisons between the control condition
228 and the three active listening conditions were done using the cluster-based approach implemented in the
229 Fieldtrip toolbox (Maris and Oostenveld, 2007). Dependent-samples t-tests between the control and each

230 active listening condition for each time-lag (time resolution 0.004 s) and electrode were conducted. Based
231 on the resulting t-values, clusters were formed by connecting adjacent time samples with p-values < 0.05
232 containing at least three neighboring electrodes. Within each cluster, the single-sample t-values were
233 summed and compared to a permutation-distribution. The permutation-distribution consisted of summed
234 t-values from clusters generated through 1000 iterations of randomly assigning time-electrode samples to
235 one of the two compared conditions. The summed t-values of clusters derived from the condition-contrast
236 of interest were compared with the summed t-values from the permuted clusters (Maris and Oostenveld,
237 2007). A cluster was considered significant if the sum of its t-values exceeded the 95%-percentile of the
238 permutation distribution, corresponding to a one-sided p-value < 0.05. In the following, all cluster-based
239 tests had setting as described above, unless otherwise stated.

240 **Neural speech tracking as a function of SNR level:** A two-step approach was used to investigate the effect
241 of SNR level on the neural speech tracking. First, assuming that noise-induced changes in $r_{\text{crosscorr}}$ would be
242 linearly related to the SNR level, cluster-based independent-samples regression analysis was used on the
243 single-subject level. For each participant, the change of the $r_{\text{crosscorr}}$ in the attended and ignored conditions
244 as a function of the three SNR levels was investigated by ranking the conditions; +4 dB SRT80, 0 dB SRT80, -
245 4 dB SRT80 and assigning them the linearly-spaced contrast-coefficients -1, 0, and +1, respectively. The
246 regression analysis implemented in the Fieldtrip toolbox, assumes equal separation between the
247 independent variables (SNR level). This criteria is only fulfilled for the three SNR levels where ignored
248 speech is presented (spaced by 4 dB), but not for the quiet condition (infinite SNR) which was not included
249 in the statistical cluster-analysis. Second, the resulting linear regression coefficients across participants (β -
250 weights; quantifying the linear change in $r_{\text{crosscorr}}$ with increasing SNR) were tested against zero using
251 cluster-based dependent-samples t-tests on the group level.

252 **Effects of hearing loss on neural speech tracking:** Whether HL asserted an effect on the neural tracking of
253 speech was investigated using Pearson's correlation. From the time-lags and electrodes showing a
254 significant difference in the tracking of attended and ignored speech, $r_{\text{crosscorr}}$ -values were extracted for each
255 participant and correlated with rPTA. Pearson's correlation was also applied to investigate the interaction
256 between HL and SNR level by correlating rPTA and the difference in speech tracking between SNR levels.
257 For each participant, the difference in speech tracking was calculated by subtracting the average $r_{\text{crosscorr}}$ -
258 value within the significant cluster from the most favorable SNR level (quiet for the attended condition and
259 +4 dB SRT80 for the ignored condition) from that of the least favorable SNR level (-4 dB SRT80 for both
260 conditions).

261 Results

262 INTELLIGIBILITY ENSURED ACROSS SNR LEVELS

263 The performance accuracy (see **Figure 1C**), proved to be significantly higher than chance level, lying at
264 33.33% for a three-alternative forced choice task ($\chi^2(1) = 29.67, p < 0.001$). No significant difference in the
265 proportion of correct answers were found between SNR levels ($\chi^2(3) = 2.49, p = 0.48$). The Pearson's
266 correlation between showed no relationship between the performance calculated across SNR levels and
267 rPTA ($r_{\text{Pearson}} = 0.05, p = 0.81$).

268 OLDER LISTENERS NEURALLY TRACK ATTENDED MORE THAN IGNORED SPEECH

269 The cross-correlation coefficients ($r_{\text{crosscorr}}$) from the four conditions (attended, ignored, attended-ignored,
270 and control) are shown in **Figure 2A**. As expected, the control condition exhibited values of $r_{\text{crosscorr}}$ close to
271 zero across all time-lags (range $-2.5 \cdot 10^{-4}$ to $+2.5 \cdot 10^{-4}$). This indicates no systematic relationship between the
272 EEG response and the speech-onset envelope presented in another time-lag interval. The $r_{\text{crosscorr}}$ of the
273 attended and ignored conditions averaged across SNR levels ranged from -0.01 to $+0.01$.

274 For the neural tracking of attended speech, the cluster-based analysis identified three time intervals
275 which differed significantly from the control condition (see **Figure 2A**; blue clusters): A significant positive
276 deflection peaking at 75 ms (time-lag 24–104 ms, 74 electrodes, $p < 0.001$), a negative deflection peaking at
277 150 ms (time-lag 112–212 ms, 83 electrodes, $p < 0.001$), and a positive deflection peaking at 250 ms (time-
278 lag 220–356 ms, 64 electrodes, $p < 0.001$). From hereon, these three deflections will be denoted $P1_{\text{crosscorr}}$,
279 $N1_{\text{crosscorr}}$, and $P2_{\text{crosscorr}}$, respectively.

280 For the neural tracking of ignored speech, the statistical analysis revealed a significant $P1_{\text{crosscorr}}$ (time-lag
281 16–104 ms, 81 electrodes, $p < 0.001$) and $P2_{\text{crosscorr}}$ (time-lag 196–292 ms, 72 electrodes, $p = 0.002$)
282 compared to the control condition (**Figure 2A**; red clusters). A cluster was identified around $N1_{\text{crosscorr}}$ for
283 the ignored condition (time-lag 136–152 ms, 47 electrodes), however the summed t-values within the
284 cluster only approached statistical significance ($p = 0.073$). Most importantly, the attentional modulation
285 (i.e., attended-ignored) significantly differed from the control condition in the time-lag interval including
286 $N1_{\text{crosscorr}}$ and $P2_{\text{crosscorr}}$ (time-lag 108–232 ms, 83 electrodes, $p < 0.001$, **Figure 2A**; black cluster), which
287 indicates stronger neural tracking of attended than ignored speech within this time-lag interval.

288

289

<< Place Figure 2 here >>

290

291 **ATTENTIONAL MODULATION OF SPEECH TRACKING DECREASES WITH HEARING LOSS**

292 The linear effect of HL (rPTA) on the attentional modulation of neural speech tracking (attended–ignored
293 condition) was investigated by extracting values of $r_{\text{crosscorr}}$ from the time-lags and electrodes where the
294 attended–ignored condition differed significantly from the control (black cluster in **Figure 2A**). We found a
295 significant decrease in the attentional modulation of neural speech tracking with worse hearing ($r_{\text{Pearson}} =$
296 0.542 , $p = 0.004$, **Figure 2B** left), indicating that listeners with stronger HL exhibit similar neural tracking of
297 attended and ignored speech.

298 The significant relationship between HL and the individual SRT80-values ($r_{\text{Pearson}} = 0.751$, $p < 0.001$) could
299 suggest that the individualized SNR-levels, rather than HL, were affecting the attentional modulation.
300 However, a multiple regression analysis ($F(2,25) = 3.66$, $p = 0.027$, R-squared adjusted = 0.235), revealed no
301 significant effect of SRT80 ($p = 0.834$) or of the interaction between rPTA and SRT80 ($p = 0.488$) on
302 attentional modulation. The only significant predictor of attentional modulation was hearing loss (rPTA, $p =$
303 0.012).

304 To test whether HL was associated with the tracking of attended or ignored speech, $r_{\text{crosscorr}}$ -values from
305 the time-lag and electrodes showing a significant attentional modulation (black cluster in **Figure 2A**), were
306 extracted separately for the attended and ignored conditions separately and correlated with HL. Whereas
307 the tracking of attended speech showed no significant relationship with HL ($r_{\text{Pearson}} = 0.096$, $p = 0.633$),
308 tracking of the ignored speech showed a significant linear decrease in magnitude with worse hearing
309 ($r_{\text{Pearson}} = -0.515$, $p = 0.006$, **Figure 2B** right). Visual inspection of the cross-correlation responses of the
310 ignored talker (data not shown) revealed that participants with normal hearing had smaller $N1_{\text{crosscorr}}$ -peaks
311 and consequent earlier $P2_{\text{crosscorr}}$ -peaks, compared to participants with worse hearing. Consequently, this
312 resulted in more positive $r_{\text{crosscorr}}$ -values for tracking of the ignored talker within the attentional modulation
313 cluster for participants with better hearing. This indicates that participants with worse hearing are unable
314 to suppress the ignored talker, resulting in higher similarity in the neural tracking of attended and ignored
315 speech, evident from the declining attentional modulation.

316 **EXTERNAL NOISE REDUCES THE NEURAL TRACKING OF ATTENDED SPEECH**

317 **Figure 3A** shows cross-correlations between the EEG response and the envelope of attended speech for the
318 three different SNR levels where ignored speech was presented (+4 dB SRT80, 0 dB SRT80, and –4 dB

319 SRT80). Two significant clusters were identified in which $r_{\text{crosscorr}}$ of attended speech significantly varied with
320 SNR level: A cluster in the time-lag interval of the $N1_{\text{crosscorr}}$ (denoted C1, time-lag 124–160 ms, 72
321 electrodes, $p = 0.006$) and a cluster in the time-lag interval of the $P2_{\text{crosscorr}}$ (denoted C2, time-lag 228–268
322 ms, 55 electrodes, $p = 0.028$). The $r_{\text{crosscorr}}$ -values extracted from C1 and C2 for each SNR level revealed that
323 tracking of the attended speech increased in magnitude with lower noise levels within both clusters (**Figure**
324 **3B**). Although not included in the statistical analysis, the quiet condition showed a further increase in
325 neural tracking of attended speech (grey bars in **Figure 3B**). For the sake of comparison, the $r_{\text{crosscorr}}$ -values
326 for the ignored-speech tracking within C1 and C2 are plotted in red in **Figure 3B**. Note that the high $r_{\text{crosscorr}}$ -
327 values for ignored condition within C2 is caused by an earlier peak in $P2_{\text{crosscorr}}$ compared to the encoding of
328 the attended speech (see **Figure 2A**).

329 A cluster-based statistical test found no significant effect of SNR level on the neural tracking of ignored
330 speech (all $ps > 0.36$).

331

332

<< Place Figure 3 here >>

333

334 HEARING LOSS MODULATES TRACKING OF ATTENDED SPEECH AT DIFFERENT SNR LEVELS

335 We investigated the interaction between HL and SNR level by utilizing the difference in neural tracking
336 between the most and least favorable SNR level. **Figure 3B** shows that the quiet condition, although not
337 included in the statistical analysis, supported the finding that less background noise resulted in better
338 neural tracking of attended speech. Therefore, the quiet condition was included into the computation of
339 the $r_{\text{crosscorr}}$ -difference for the attended speech (quiet minus -4 dB SRT80). **Figure 4A** shows the $r_{\text{crosscorr}}$ -
340 difference for each individual sorted according to the degree of HL (rPTA), for the two clusters C1 and C2
341 (identified in **Figure 3A**). Pearson's correlations revealed a significant decrease in the $r_{\text{crosscorr}}$ -difference
342 (quiet minus -4 dB SRT80; blue lines in **Figure 4A**) with worse hearing for the C1 cluster ($r_{\text{Pearson}} = 0.394$, $p =$
343 0.042), with the $r_{\text{crosscorr}}$ -differences from the C2 cluster suggesting a similar trend ($r_{\text{Pearson}} = -0.349$, $p =$
344 0.075). In other words, in the neural tracking of attended speech, participants with better hearing showed a
345 larger sensitivity to changes in the SNR level. Participants with worse hearing show no change in the
346 tracking of the attended speech between the least favorable SNR level (-4 dB SRT80) and the quiet
347 condition, see individual data in **Figure 4B**.

348 As expected, the $r_{\text{crosscorr}}$ -difference for the ignored talker, calculated between the SNR levels +4 dB
349 SRT80 and -4 dB SRT80 (+4 dB SRT80 minus -4 dB SRT80), showed no significant relationship with rPTA
350 within the C1 and C2 clusters (both $ps > 0.13$, red lines in **Figure 4A**).

351

352

<< Place Figure 4 here >>

353 Discussion

354 The present study used a competing-talker paradigm to investigate the neural response to continuous
355 speech in elderly listeners with varying degrees of hearing loss (HL) and under varying degrees of signal-to-
356 noise (SNR) levels. We asked how both factors, internal HL and external SNR degradation, would interfere
357 with the neural tracking of speech. Our results can be summarized as follows: (i) Older listeners' with
358 varying degree of HL reliably track the speech-onset envelope of attended speech, more than that ignored
359 speech. (ii) Worse hearing relates to reduced attentional modulation in the neural speech tracking, driven
360 by a higher similarity in the tracking of attended and ignored speech. (iii) More favorable SNR in the
361 acoustic stimulation improves the neural tracking of attended speech, but this improvement diminishes
362 with more severe HL.

363 ATTENTION MODULATES SPEECH TRACKING IN ELDERLY LISTENERS WITH VARYING DEGREE OF HEARING 364 LOSS

365 In line with recent findings for younger normal-hearing listeners, three significant components ($P1_{\text{crosscorr}}$,
366 $N1_{\text{crosscorr}}$, $P2_{\text{crosscorr}}$) were identified in the neural tracking of attended speech for our older listeners with
367 varying degrees of HL (see **Figure 2A**; Power et al., 2012; Horton et al., 2013; Kong et al., 2014; O'Sullivan et
368 al., 2015). Peaks in the neural speech tracking response are thought to reflect different processing stages,
369 from the encoding of auditory features ($P1_{\text{crosscorr}}$) to evaluating the behavioral importance of the auditory
370 object ($N1_{\text{crosscorr}}$ and $P2_{\text{crosscorr}}$; Ding and Simon, 2013b). Although not identified in all previous studies, we
371 observed significant $P2_{\text{crosscorr}}$ -components for both the attended and ignored condition. Horton and
372 colleagues suggest that the emergence of the $P2_{\text{crosscorr}}$ depends on the difficulty of the experimental task
373 (Horton et al., 2013). Horton and colleagues also observed a change in polarity for $N1_{\text{crosscorr}}$ suggestive of
374 an enhancement of the attended and suppression of the ignored, respectively, for younger normal-hearing
375 listeners. No change in the $N1_{\text{crosscorr}}$ -polarity was observed in the current study, which might suggest that
376 attentional modulation was more difficult to assert in the current study than in the study by Horton and

377 colleagues. The general compliance in cross-correlation magnitude and response pattern between this
378 study and previous studies in younger listeners, suggests that also elderly subjects with varying degrees of
379 HL exhibit reliable neural tracking of speech.

380 Previous studies have found attention to modulate speech tracking around 150 ms ($N1_{\text{crosscorr}}$) within the
381 neural speech tracking of normal-hearing younger listeners (Ding and Simon, 2012a, 2012b; Power et al.,
382 2012; Hambrook and Tata, 2014; Kong et al., 2014). Interestingly, the cluster-based approach in the current
383 study allowing for a more detailed analysis, revealed attentional modulation not only of $N1_{\text{crosscorr}}$, but of
384 the $N1_{\text{crosscorr}}-P2_{\text{crosscorr}}$ complex. Since ageing, like hearing impairment, is associated with a decline in the
385 ability to assert attentional control (Pichora-Fuller and Singh, 2006; Passow et al., 2012) profound age-
386 effects on the attentional modulation of neural speech tracking might be expected. However, the observed
387 significant difference between the neural tracking of attended and ignored speech suggests that attentional
388 modulation is asserted in the neural response of older listeners.

389 HEARING LOSS REDUCES THE ATTENTIONAL MODULATION OF NEURAL SPEECH TRACKING

390 In line with our hypothesis, HL had a detrimental effect on the attentional modulation of neural speech
391 tracking (**Figure 2B**). Specifically, we observed that hearing loss was associated with changes in the tracking
392 of ignored speech, rather than tracking of attended speech. In other words, participants with worse hearing
393 showed a higher similarity in the neural tracking of attended and ignored speech. This suggests that HL
394 deteriorates the segregation of competing talkers, resulting in deficient inhibition of the ignored speech
395 signal. This might explain why listeners suffering from HL report difficulties in coping with multi-talker
396 situation, even when wearing hearing aids (Bronkhorst, 2000; Shinn-Cunningham and Best, 2008).

397 As the individualized background-noise levels result in mainly positive SNRs, it could be speculated that
398 the neural tracking of attended speech was favored as its relative level in the speech mixture exceeds that
399 of the ignored speech. Indeed significantly, higher SNRs (SRT80) were applied for participants with worse
400 hearing, which could potentially cause the observed attentional modulation effect. However, as we
401 observed no significant relationship between attentional modulation and the individualized background-
402 noise levels (SRT80), we do not suspect the application of positive SNRs to affect the attentional
403 modulation. It must be emphasized that although worse hearing is associated with significantly higher
404 SRT80-values, poorer cognitive abilities are also known to reduce the ability to understand speech in noise,
405 thus influencing the SRT80-value irrespective of hearing loss (Lunner, 2003; Petersen et al., 2016).

406 From a cognitive perspective, internal degradation (HL) poses additional constraints on the limited
407 cognitive resources involved in listening, leaving fewer resources for the perceptual processing of the

408 auditory input (Pichora-Fuller et al., 1995; Lunner et al., 2009). Research on ageing has established that
409 particularly the ability to inhibit irrelevant information is reduced with age (Hasher and Zacks, 1988; Hasher
410 et al., 2008). Hasher and Zacks (1988) note that deficits in the inhibitory process allow irrelevant
411 information to disrupt the selective-attention process and thereby occupy cognitive resources. Our findings
412 suggests that worse hearing, like increased age, affects the ability to inhibit irrelevant information, evident
413 from the increased neural tracking of ignored speech.

414 It is well-established that HL is associated with difficulties in processing temporal fine-structure (Hopkins
415 et al., 2008; Lunner et al., 2012), hence parallels can be drawn between HL and the effect of vocoding the
416 speech material presented to normal-hearing listeners (Shannon et al., 2007). Indeed, reducing temporal
417 fine-structure in a competing-talker task has been found to induce a decline in attentional modulation in
418 younger normal-hearing listeners, resulting from changes in the tracking of both the attended and ignored
419 speech (Kong et al., 2015). Our result showed no effect of HL on attended speech tracking possibly resulting
420 from HL causing other processing deficiencies than just a reduced sensitivity to temporal fine-structure
421 (Moore, 2007).

422 **BACKGROUND NOISE REDUCES THE NEURAL TRACKING OF ATTENDED SPEECH**

423 Effects of increasing the background-noise level (by decreasing the SNR from +4 dB to -4 dB SRT80) on the
424 tracking of attended speech were found within two time-electrode clusters, both showing values of $r_{\text{crosscorr}}$
425 closer to zero with higher levels of background noise (i.e., lower SNRs, **Figure 3**). This finding supports part
426 of our hypothesis that lower SNRs result in weaker tracking of attended speech. Hence, since the cluster-
427 based analysis showed no effect of SNR on the tracking of ignored speech, the hypothesis that tracking of
428 the ignored speech would increase with lower SNRs is not supported. Generally, external degradation of
429 speech is not always found to affect the neural speech tracking (e.g., see Howard and Poeppel, 2010). Also
430 studies specifically altering the SNRs between talkers do not always show an effect on the neural speech
431 tracking (Ding and Simon, 2012a; Kong et al., 2014). However, it must be considered that the elderly
432 participants with varying degree of hearing loss could apply another listening strategy in multi-talker
433 situations.

434 While the sparse behavioral measure showed no effect SNR level, we suspect that low number of
435 questions asked for each participant causes this non-significant effect of background noise level. However,
436 the behavioral data shows that participants were performing above chance level, suggesting that the
437 attended speech was intelligible (see **Figure 1C**). We therefore do not suspect that the detrimental effect of
438 SNR level on the neural tracking of attended speech to be caused by an unintelligible stimuli. Indeed, we

439 have previously found task performance to be high (>80%), but modulated by the background noise level in
440 an auditory Sternberg task when using the same individualized noise levels and the same participants as
441 included in the current study (Petersen et al., 2015).

442 Although the statistical approaches used to identify effects of internal and external sound degradation
443 differ, it is interesting to note that we found HL and SNR to be associated with the neural representation of
444 ignored and attended speech, respectively. It has previously been suggested that the neural
445 representations of attended and ignored speech are neurally processed independently, on the level of
446 separate auditory objects (Simon, 2015). Following this line of argumentation, it is possible for internal
447 auditory degradation (HL) and external sound degradation (SNR) to affect the two auditory objects
448 (attended and ignored speech) independently. When the SNR of attended relative to ignored speech was
449 increased, we observed that the neural representation of attended speech was enhanced, while the neural
450 representation of ignored speech was unaffected. Since a larger part of the neural tracking response for
451 attended speech differs from zero, compared to the response to ignored speech (**Figure 2A**), this increases
452 the likelihood of observing SNR level effects on the tracking of attended than ignored speech.

453 However, why does HL have a stronger impact on the neural tracking of the ignored speech? An
454 enhanced neural tracking response for a particular speech stream at a time-lag of ~150ms (around
455 $N1_{\text{crosscorr}}$) reflects attentional modulation, manifesting as a deeper encoding of the attended speech stream
456 rather than the ignored (see **Figure 2A**; Ding and Simon, 2012a, 2012b; Power et al., 2012; Hambrook and
457 Tata, 2014; Kong et al., 2014). HL reduces the spectro-temporal dissimilarity between attended and ignored
458 speech already on the level of the cochlea (Moore, 2007), which impairs the formation of separate auditory
459 objects for the two speech signals (for review, see Shinn-Cunningham and Best, 2008). Consequently,
460 listeners with more severe HL show a deep encoding of the attended, but also the ignored speech signal. In
461 other words, our results suggest that listeners with more severe HL track the entire auditory scene
462 (attended and ignored speech) without neurally inhibiting the ignored speech. This could relate to the
463 difficulties experienced by hearing-impaired listeners' in complex multi-talker situations (Shinn-
464 Cunningham and Best, 2008).

465 Considering the experimental design, differences in the neural tracking of the attended and ignored
466 speech could be affected by the difference in the speech characteristics of the two talkers. Previous studies
467 have found no significant effects of gender on the neural speech tracking response in younger normal-
468 hearing listener during active listening (Ding and Simon, 2012a; Kong et al., 2015). Although, we would not
469 expect that age and hearing loss would cause an interaction between neural tracking and talker
470 characteristics, we are not able to test this claim with the current experimental design.

471 HEARING LOSS REDUCES SENSITIVITY TO CHANGING NOISE LEVELS

472 Analyzing the change in the neural tracking of attended speech between the quiet and least favorable SNR
473 level (-4 dB SRT80) revealed that participants with worse hearing did not improve the speech tracking as
474 the SNR improved (**Figure 4**). As such, participants with worse hearing seem insensitive to changes in the
475 SNR level, contrary to participants with better hearing, who show a larger difference between the tracking
476 of the attended talker in quiet and at -4 dB SRT80.

477 A similar effect of HL on the sensitivity to noise has been observed in the pupil response of older
478 listeners (Zekveld et al., 2011). Zekveld and colleagues argue that speech information processing is more
479 superficial for listeners with HL, in that they perform less information storage and semantic processing,
480 which leads to reduced pupil responses, as a measure of listening effort, in the older participants with HL.
481 The interaction between HL and SNR observed in the present study suggests that the insensitivity to
482 changes in the SNR level could result in superficial speech information processing, proposed by Zekveld and
483 colleagues. Interestingly, a recent study showed that the EEG response tracks not only the speech envelope
484 of natural speech, but also the phonetic and spectral features important for higher-level processing and
485 understanding of speech (Di Liberto et al., 2015). In relation to HL, a link between neural speech tracking
486 and higher-level processing, could explain why hearing-impaired listeners have problems not only
487 understanding speech in noise, but also in coding of information into the long-term memory (Rönnberg et
488 al., 2011).

489 In summary, our results demonstrate that older participants with varying degrees of hearing loss under
490 aided listening conditions show surprisingly robust neural tracking of speech. Furthermore, the internal
491 degradation through the loss of hearing results in reduced attentional modulation of neural speech
492 tracking, mainly driven by limited inhibition of ignored speech. Interestingly, manipulating external
493 degradation, by lowering the SNR, manifests in a reduced ability to neurally track attended speech.
494 Participants with worse hearing showed no improvement in attended speech tracking with lowered
495 background noise.

496 Thus, internal and external sound degradation affect different aspects of auditory speech processing,
497 either by reducing inhibition of ignored speech (internal degradation) or reducing neural encoding of the
498 attended speech (external degradation). In addition, hearing-aid amplification in itself is seen not to restore
499 normal neural tracking of the auditory input for participants suffering from a hearing loss. This corroborates
500 the sustained difficulties in everyday multi-talker situations often reported by listeners suffering from
501 hearing loss.

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507 **Disclosures**

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605 **Figure captions**

606 **Figure 1: Hearing abilities and experimental design. (A)** Pure-tone hearing thresholds for the each
 607 participant averaged between ears are shown in thin grey lines. The average hearing threshold across all
 608 subjects is shown in black with error bars indicating ± 1 SEM. The pure-tone average (PTA) was calculated
 609 as the average across the frequencies highlighted with gray shading. **(B)** The significant linear decrease in
 610 hearing ability (quantified as PTA) with age ($p = 0.033$) is shown with the least-square regression line (bold
 611 black line). The 95% confidence interval of the regression is indicated with thin lines. **(C)** Response accuracy
 612 for the questions regarding the content of the attended story for the four SNR levels. The percentage of
 613 correct answers is calculated across participants. The average accuracy across SNR levels is 71.30% (dashed
 614 line). **(D)** Left, bottom: Outline of the acoustic stimuli; a to-be-attended audiobook (male talker, blue) and a
 615 to-be-ignored audiobook (female talker, red). The to-be-attended talker was presented in quiet or masked
 616 by the to-be-ignored talker at three SNR levels. Left, top: All sounds were presented to both ears through
 617 hearing aids. The scalp EEG (illustrated with cyan dots and lines) was recorded during the task. Right: To
 618 quantify the neural tracking of speech, the broad-band speech-onset envelope of the to-be-attended (blue
 619 line) and to-be-ignored (red line) speech signals were extracted and cross-correlated with the EEG response
 620 (cyan) for all electrodes. For statistical analysis, a control condition was created by correlating the EEG
 621 response with a randomly picked, non-time-aligned, segment of the to-be-attended talker (magenta).

622

623 **Figure 2: Neural tracking of speech-onset envelopes and effect of hearing loss. (A)** Top: Solid lines and
 624 shaded areas respectively show the grand-average cross-correlation (across $N = 27$ participants, the 58
 625 electrodes common for all significant clusters, and all SNR levels) and the 95% confidence intervals for
 626 attended speech (blue), ignored speech (red), and the control condition (grey). Notation of the three
 627 components $P1_{\text{crosscorr}}$, $N1_{\text{crosscorr}}$, and $P2_{\text{crosscorr}}$ is shown above the responses. Bottom: Results of the cluster-
 628 based permutation tests (see text for details). Time-lags at which the active listening conditions differ
 629 significantly from the control condition are indicated with horizontal bars (blue, attended speech; red,
 630 ignored speech; black, attended–ignored). The corresponding topographic maps of the t-values are
 631 positioned above the bars. Asterisks indicate the p-values for each cluster ($*** p < 0.001$, $** p < 0.01$). **(B)**
 632 Left: The significant linear least-squares regression between hearing loss (rPTA) and the attentional
 633 modulation (attended–ignored, $p = 0.004$) extracted from the significant attended–ignored cluster (black in
 634 Figure 2A). Right: From the significant time-lags and electrodes of the attended–ignored cluster, values of
 635 $r_{\text{crosscorr}}$ for the ignored condition (red, $p = 0.006$), but not for the attended condition (blue, $p = 0.633$),

636 significantly correlated with hearing loss (rPTA). The shaded areas indicate the 95% confidence interval of
637 the regression lines.

638

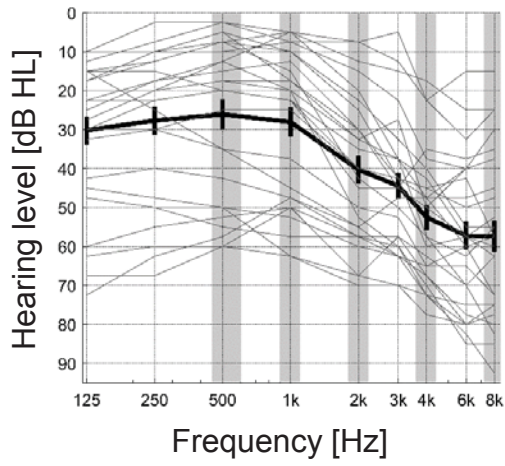
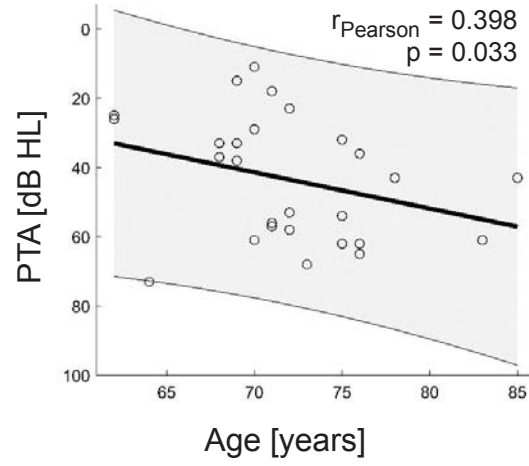
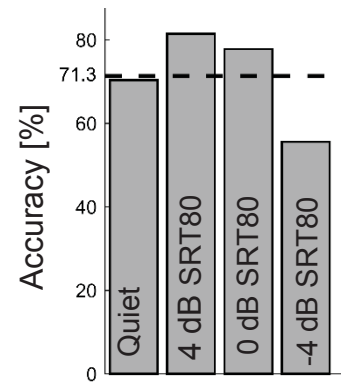
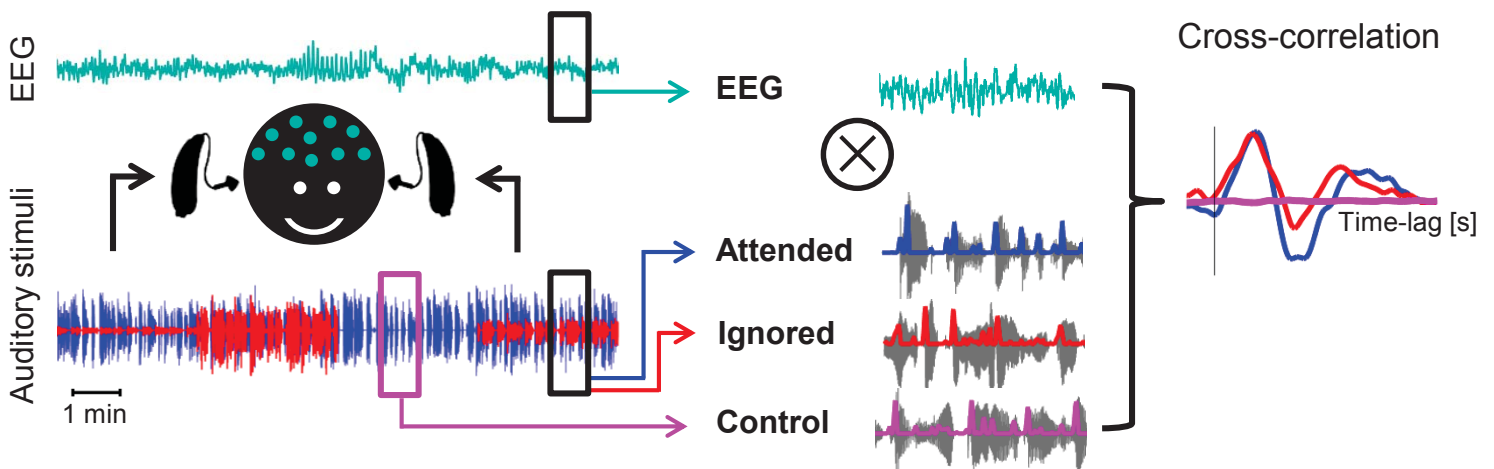
639 **Figure 3: Effects of SNR level on the neural tracking of attended speech. (A)** Solid lines show the grand-
640 average cross-correlations for attended speech (across $N = 27$ participants and the 44 electrodes common
641 for both significant clusters) for the three SNR levels where ignored speech was presented (green, +4 dB
642 SRT80; orange, 0 dB SRT80; red, -4 dB SRT80). Horizontal blue bars show the temporal extent of the two
643 significant clusters (denoted C1 and C2) exhibiting a linear effect of SNR level on the tracking of attended
644 speech. Asterisks indicate the p-values for each cluster (** $p < 0.01$, * $p < 0.05$). **(B)** Topographic maps show
645 the spatial extend of the two significant clusters (C1 on the left, C2 on the right, note that the y-axes are
646 reversed). The averaged $r_{\text{crosscorr}}$ -values from the significant time-lags and electrodes are shown for tracking
647 of attended (blue) and ignored (red) speech for the three SNR levels where ignored speech was presented.
648 For comparison, the tracking of attended speech during the quiet condition is also shown (grey, not
649 included in the statistical analysis). Error bars indicate ± 1 SEM.

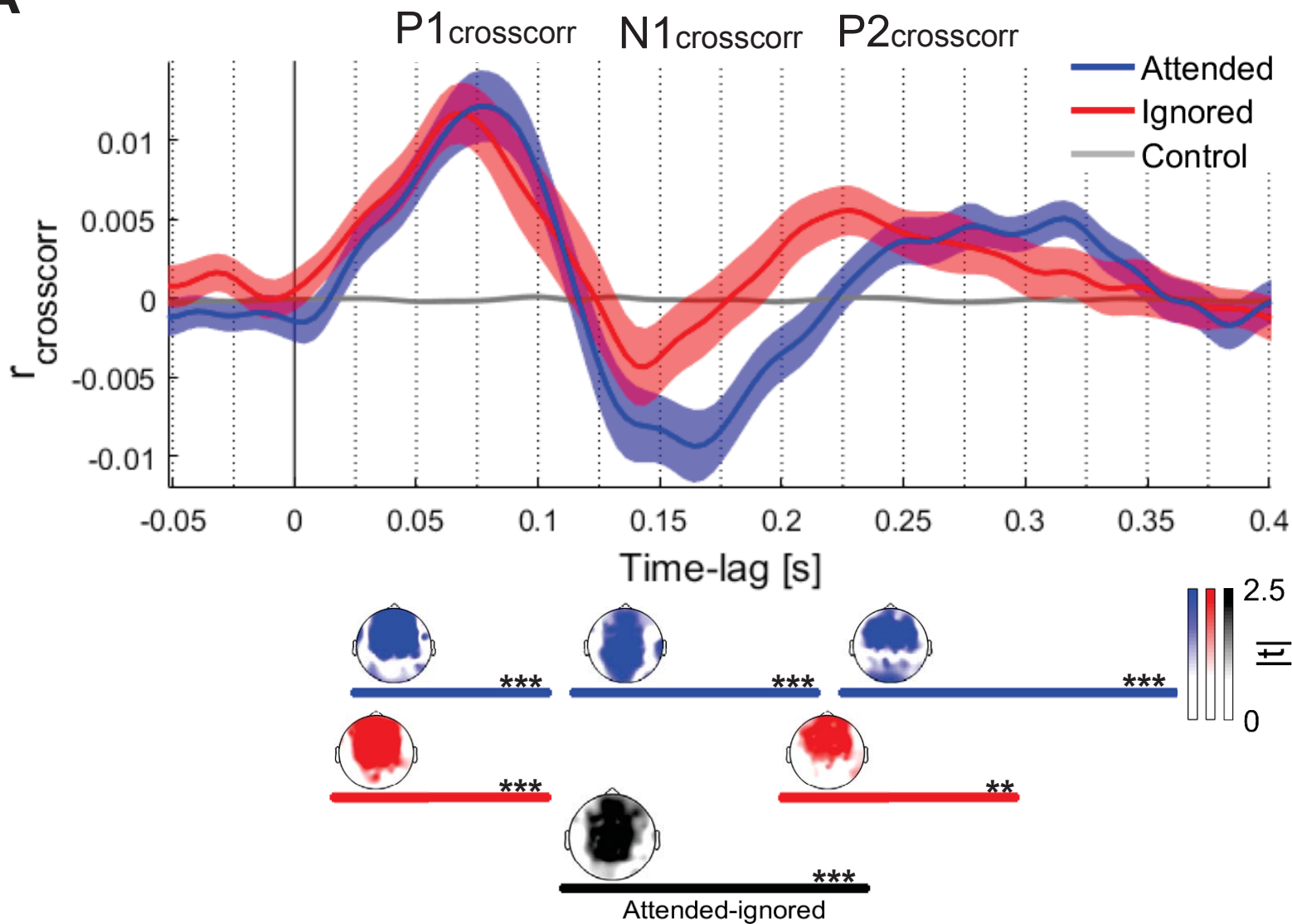
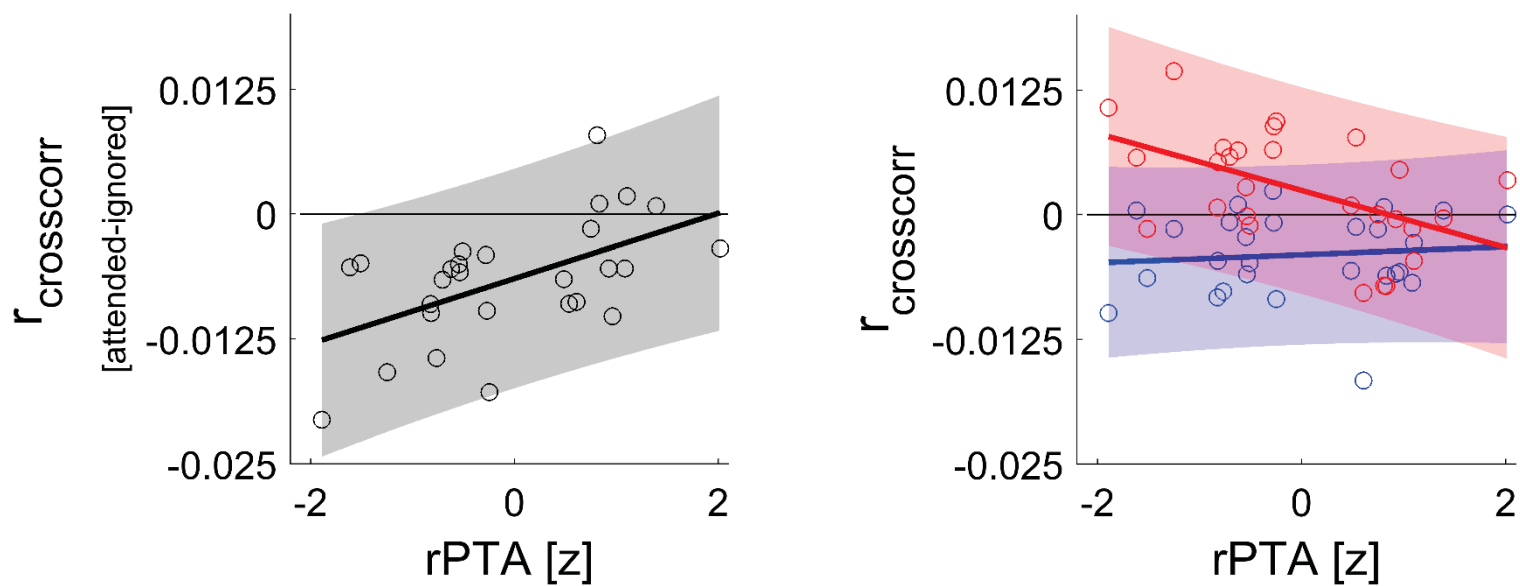
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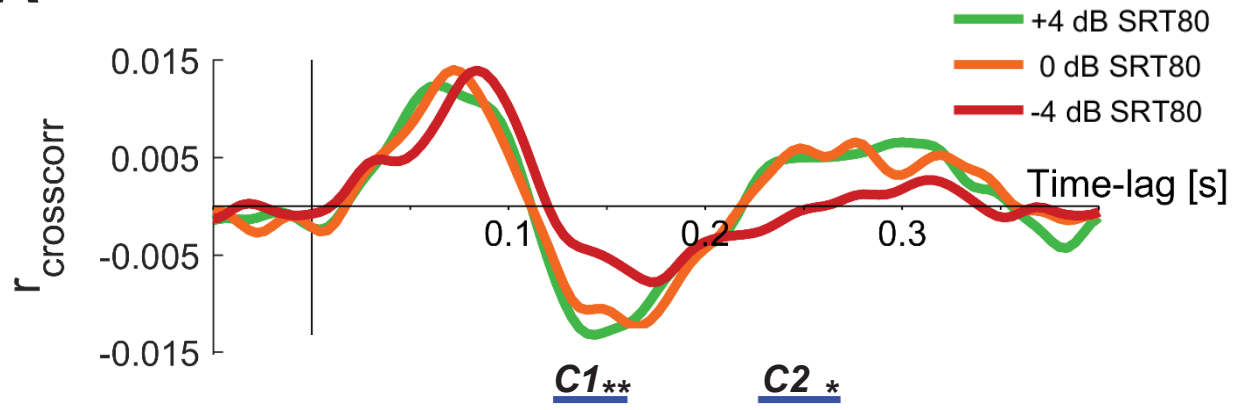
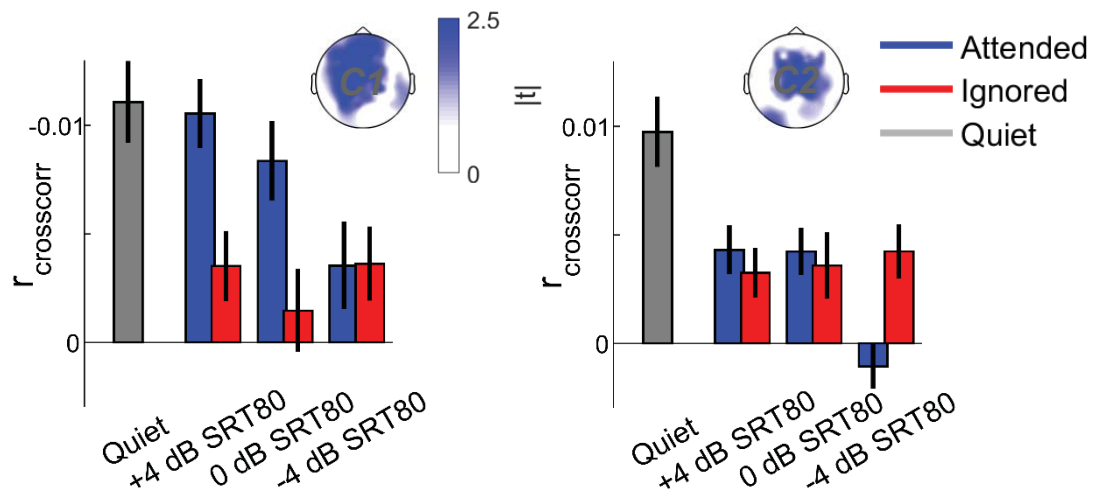
651 **Figure 4: Interaction between SNR level and hearing loss on the tracking of attended speech. (A)** Data for
652 each participant, ordered according to the degree of hearing loss (rPTA), is presented in bars. Individual
653 differences in $r_{\text{crosscorr}}$ between the quiet and the -4 dB SRT80 condition for tracking of attended speech
654 within the two significant clusters identified in Figure 3A (in blue, top left: C1, bottom left: C2). For
655 comparison, the tracking of ignored speech, calculated as the difference in $r_{\text{crosscorr}}$ between the 4 dB SRT80
656 and the -4 dB SRT80 condition within the two clusters, are shown in red bars. The linear least-squares
657 regressions between HL and the $r_{\text{crosscorr}}$ -differences are shown in solid lines for the attended speech (blue,
658 C1: $p = 0.042$, C2: $p = 0.075$) and ignored speech (red, C1: $p = 0.223$, C2: $p = 0.13$). **(B)** Individual $r_{\text{crosscorr}}$ -
659 value for tracking of the attended speech for the quiet and -4 dB SRT80 condition from C1 (top) and C2
660 (bottom). The individual lines are color-coded according to hearing loss, by separating the participants into
661 three groups of equal size ($n = 9$; black, no hearing loss; orange, mild hearing loss; red, moderate hearing
662 loss).

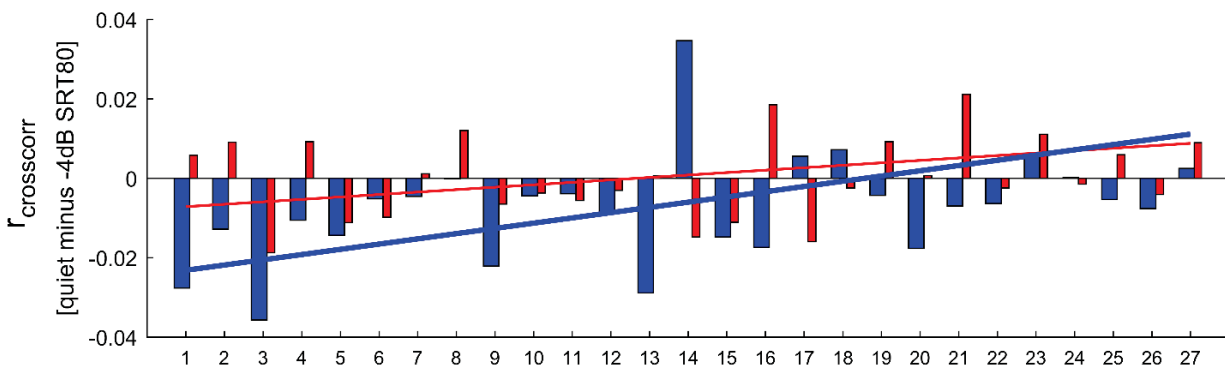
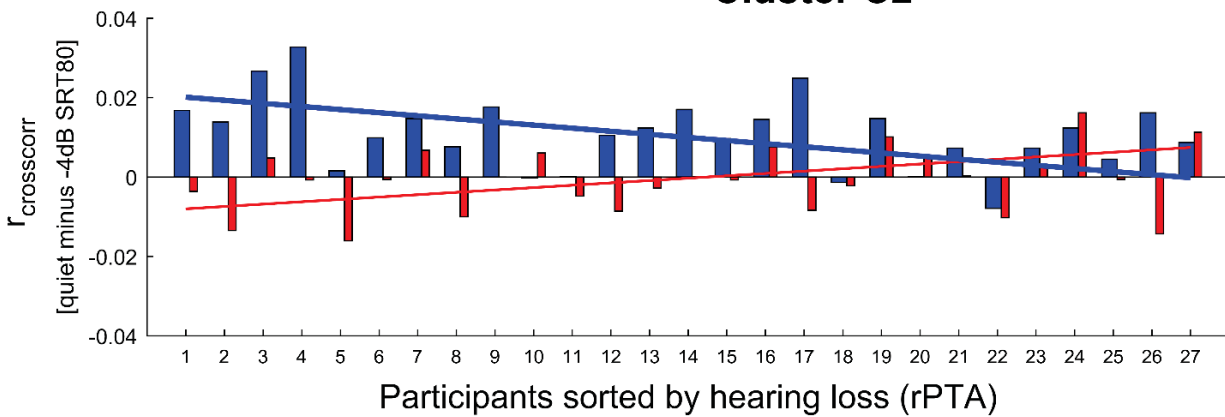
663

664

A**B****C****D**

A**B**

A**B**

A**Cluster C1****Cluster C2**

No HL

Mild HL

Moderate HL

B